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# CO oxidation on PtSn nanoparticle catalysts occurs at the interface of Pt and Sn oxide domains formed under reaction conditions



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### ABSTRACT

The barrier to CO oxidation on Pt catalysts is the strongly bound adsorbed CO, which inhibits O<sub>2</sub> adsorption and hinders CO2 formation. Using reaction studies and in situ X-ray spectroscopy with colloidally prepared, monodisperse  $\sim$ 2 nm Pt and PtSn nanoparticle catalysts, we show that the addition of Sn to Pt provides distinctly different reaction sites and a more efficient reaction mechanism for CO oxidation compared to pure Pt catalysts. To probe the influence of Sn, we intentionally poisoned the Pt component of the nanoparticle catalysts using a CO-rich atmosphere. With a reaction environment comprised of 100 Torr CO and 40 Torr O2 and a temperature range between 200 and 300 °C, Pt and PtSn catalysts exhibited activation barriers for CO2 formation of 133 kJ/mol and 35 kJ/mol, respectively. While pure Sn is readily oxidized and is not active for CO oxidation, the addition of Sn to Pt provides an active site for O<sub>2</sub> adsorption that is important when Pt is covered with CO. Sn oxide was identified as the active Sn species under reaction conditions by in situ ambient pressure X-ray photoelectron spectroscopy measurements. While chemical signatures of Pt and Sn indicated intermixed metallic components under reducing conditions, Pt and Sn were found to reversibly separate into isolated domains of Pt and oxidic Sn on the nanoparticle surface under reaction conditions of 100 mTorr CO and 40 mTorr O<sub>2</sub> between temperatures of 200-275 °C. Under these conditions, PtSn catalysts exhibited apparent reaction orders in O2 for CO2 production that were 0.5 and lower with increasing partial pressures. These reaction orders contrast the first-order dependence in O<sub>2</sub> known for pure Pt. The differences in activation barriers, non-first-order dependence in O<sub>2</sub>, and the presence of a partially oxidized Sn indicate that the enhanced activity is due to a reaction mechanism that occurs at a Pt/Sn oxide interface present at the nanoparticle surface.

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### 1. Introduction

CO poisons Pt surfaces and prevents the formation of  $CO_2$  by inhibiting  $O_2$  adsorption. While pure Sn becomes readily oxidized in the presence of  $O_2$  and does not react with CO, the rate of CO oxidation can be improved by incorporating Sn into Pt catalysts [1–6]. The barrier to understanding the mechanism of CO oxidation on PtSn, and even pure Pt catalysts, is knowledge of the structure and chemical environment of the surface and the nature of the catalytic active phase. The key in determining the true active phase of

the catalyst is monitoring the atomic- and molecular-level details of surface atoms and reactants on the surface of the catalyst as the reaction proceeds. Nanoparticle catalysts can undergo significant structural and compositional changes that depend on the temperature and gas atmosphere during pre-treatment, reaction, and post-treatment [7–9]. Often, prenatal and postmortem spectroscopic characterization studies are used to understand the nature of the catalytic active phase. This approach often leads to diverse conclusions because the catalyst surface changes with different treatments and when samples are transferred from reactor to characterization tool. In order to make definitive conclusions about the catalyst's active phase using spectroscopic tools, the catalyst must be studied under reaction conditions.

Catalysts that are used industrially and commonly for laboratory kinetic reaction studies present challenges for atomic-level spectroscopic investigations because the porous supports

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attenuate signal and the active catalyst is polydisperse in size and composition. In order to observe changes in catalyst surfaces under reaction conditions, nanoparticle catalysts should not be embedded deep within pores of the support material. An effective method that allows for both reaction studies and spectroscopic observation under reaction conditions is deposition of colloidally prepared nanoparticles onto two-dimensional supports such as Si wafers [10,11]. Understanding how structure and composition of catalysts correlate with catalytic activity and selectivity requires ensembles of nanoparticles that have monodisperse size, shape, and composition distributions. Achieving monodispersity in size and composition is challenging when creating nanoparticles with incipient impregnation methods used in industry (i.e., reducing the metal precursors directly onto a mesoporous or microporous supports such as silica or alumina) due to uncontrolled mixing of the precursors at the liquid-solid interface, or from sequential reduction of multiple elements. However, an alternative synthesis approach that provides control over nanoparticle size and composition is inorganic colloidal synthesis [12,13].

In this work, we use ambient pressure X-ray photoelectron spectroscopy (APXPS) [14,15] to monitor the chemical composition and electronic environment of well-defined, colloidally prepared PtSn nanoparticle catalysts under various gas atmospheres. PtSn catalysts exhibit dynamic segregation with an intermixed Pt–Sn phase under reducing conditions and separated metallic Pt and oxidic Sn domains under CO oxidation reaction atmospheres. By intentionally poisoning the Pt surface sites with CO, we show that the enhanced activity displayed by PtSn catalysts is due to the presence of Sn, which allows for  $O_2$  adsorption and dissociation and a Pt/Sn oxide interface where SnO is reduced by CO. An alternative and more facile reaction mechanism at the interface is confirmed by measurements of the effective activation barriers and reaction rate dependencies with respect to  $O_2$  and CO.

### 2. Experimental methods and materials

### 2.1. Materials

Hexachloroplatinic acid ( $H_2PtCl_6\cdot 6H_2O$ , 99%), tin chloride ( $SnCl_2$ , 98%), tin(II) acetate ( $Sn(C_2H_3O_2)_2$ , 99%), poly(vinylpyrrolidone) (PVP, MW = 29,000/55,000 amu), ethylene glycol (reagent grade), hydrochloric acid (HCl, 2 M), sodium hydroxide (NaOH, 99.9%), sodium borohydride (NaBH<sub>4</sub>, 99.9%), acetone (99%), tetraorthosilicate (99.9%), and ammonium hydroxide (99.9%) were manufactured by Sigma Aldrich. 1,5-pentanediol (95%), ethanol (100%), and hexane (99.9%) were manufactured by Fluka, KOPTEC, and BDH, respectively. Oxygen (5.0 UHP), carbon monoxide (5.0 UHP), and helium were purchased from Praxair. Polished Si(100) wafers were purchased from Addison Engineering. To minimize Fe or Ni carbonyls in the reactant gases, CO was stored in an Al cylinder and was passed through Cu tubing heated to 300 °C immediately before the reactor inlet.

### 2.2. Nanoparticle synthesis

Pt, PtSn, and Sn nanoparticles were prepared using inorganic colloidal methods as described previously with a PVP capping ligand [10,16,17]. The syntheses can be conducted using different initial amounts of precursors as long as the relative ratios remain constant. Polyhedral platinum nanoparticles of 2 nm average diameter were prepared by mixing 10 mL of ethylene glycol, 100 mg of  $\rm H_2PtCl_6\cdot 6H_2O$  (1.9  $\times$  10<sup>-4</sup> mol), and 5 mL of a 0.5 M NaOH solution (0.1 g) in a 25-mL three-neck round-bottom flask. The flask was sealed with septa, and the residual air atmosphere was evacuated by three cycles of vacuum pumping followed by an Ar purge. The

synthesis was conducted at  $160\,^{\circ}\text{C}$  with vigorous stirring in Ar flow for 2 h. After the colloid returned to room temperature, 20.3 mg of PVP (0.18 mol) was added followed by vigorous stirring for 20 min. Finally,  $100\,\text{mL}$  of acetone was added to the solution, and the particles were precipitated by centrifugation at 4000 rpm (VWR Clinical 50) for  $\sim 10\,\text{min}$ . Further washing with ethanol and hexane was performed three times to remove residual molecular fragments from the particle surface. These nanoparticles, as well as the PtSn and Sn nanoparticles, were stored in ethanol under refrigeration before their use in kinetic and spectroscopic studies.

Polyhedral PtSn nanoparticles of 2.2 nm average diameter were prepared by mixing 10 mL of ethylene glycol, 28 mg of  $\rm H_2PtCl_{6^-}6H_2O$  (7  $\times$  10 $^{-5}$  mol), 13 mg of SnCl $_2$  (7  $\times$  10 $^{-5}$  mol), and 111 mg of PVP (1  $\times$  10 $^{-3}$  mol) in a 25-mL three-neck round-bottom flask. The synthesis was conducted at 160 °C with vigorous stirring in Ar flow for 2 h. After the colloid returned to room temperature, 40 mL of acetone was added to the solution and the particles were precipitated by centrifugation and washed with the same procedure used for the Pt nanoparticles.

To synthesize polyhedral Sn nanoparticles of 6 nm average diameter,  $0.14\,\mathrm{g}$  of  $\mathrm{Sn}(\mathrm{OAc})_2$  ( $6\times10^{-4}\,\mathrm{mol}$ ) and  $1.7\,\mathrm{g}$  of PVP ( $1.5\times10^{-2}\,\mathrm{mol}$ ) were loaded into a 100-mL three-neck round-bottom flask in a glove box. After adding 20 mL of dried 1,5-pentanediol into the precursor solution, the flask was evacuated by vacuum pumping followed by an Ar purge and heating to  $100\,^{\circ}\mathrm{C}$  with vigorous stirring. A reducing agent, which was prepared by dissolving  $0.23\,\mathrm{g}$  of NaBH<sub>4</sub> in 60 mL of dried 1,5-pentanediol, was quickly injected into the precursor solution, and the reaction was maintained at  $100\,^{\circ}\mathrm{C}$  for  $15\,\mathrm{min}$ . After cooling to room temperature, acetone was added to the solution and the nanoparticle solids were precipitated by centrifugation and washed with the same procedure used for the Pt nanoparticles.

### 2.3. Preparation of silica encapsulated Pt and PtSn nanoparticles for reaction studies

For the reaction studies, the Pt and PtSn nanoparticle catalysts were encapsulated in a porous silica shell to eliminate sintering during the long-term high-temperature experiments [18]. The nanoparticles were encapsulated in a SiO<sub>2</sub> shell using a modified sonication-assisted Stöber method with PVP as a pore structuring agent [19,20]. To prepare the shell surrounding the nanoparticles, a 50 µL aliquot of the as-prepared colloid was mixed by sonication (Branson, 3510) with 15 mL of ethanol in a 20 mL scintillation vial. While the mixture remained in the sonication bath,  $2 \pm 1 \mu L$  of TEOS was added (the total amount varied depending on the concentration of the nanoparticle solution). Following ~30 s of continued sonication, 2.25 mL of NH<sub>3</sub>OH was added drop-wise over a period of 5 min. The solution was left in the sonication bath for 2 h. The ∼21 mL solution of core-shell nanoparticles was washed with 21 mL of a 50:50 mixture of acetone and hexane and was precipitated by centrifugation for 10 min.

### 2.4. Catalyst sample preparation (Langmuir–Blodgett film deposition)

For kinetic and spectroscopic studies, nanoparticles were supported on two-dimensional surfaces. The use of a two-dimensional support differs from common catalytic studies that use high surface area materials (e.g., silica, alumina, or carbon) as catalyst supports. Surface-sensitive X-ray spectroscopy only probes the particles at the exterior of the high surface area three-dimensional supports. For kinetic studies, SiO<sub>2</sub>-encapsulated Pt or PtSn nanoparticles were deposited onto a Si(100) wafer using a Langmuir-Blodgett trough (Kibron, MTX). For spectroscopic studies, the Pt or PtSn nanoparticles were supported on Au-coated Si wafers. Both supports exhibited negligible activity for CO oxidation.

Before the Langmuir–Blodgett deposition, the Si surfaces were exposed to strong oxidizers (e.g., piranha or Nochromix) to remove organic residues and make them hydrophilic (oxidizers hydroxylate the silica). Nanoparticles were dispersed in a 50:50 chloroform:ethanol mixture and deposited onto an ultrapure water subphase (18.2  $M\Omega$  cm). Following the evaporation of the solvent and equilibration of particles (30–60 min), the two-dimensional layer of particles was compressed at a rate of 5 mm/min to create a close-packed monolayer. When the monolayer of particles was compressed to a surface pressure in the range of 30–35 mN/m, the substrates were withdrawn at a rate of 2 mm/min while maintaining a constant pressure. Surface tension was monitored using Wilhelmy probe made of a PtIr wire.

Following deposition, the core–shell nanoparticles supported on the wafers were calcined in a tube furnace (Lindberg Blue M) at 350 °C in ambient conditions to remove the PVP capping agent and create pores in the silica shell [18]. The samples were stored in ambient atmosphere before use.

### 2.5. Morphological characterization

Nanoparticle morphology and size were examined using a transmission electron microscope (TEM, JEOL 2100) operated at 200 kV. The nanoparticle size was measured using ImageJ v. 1.45s software (NIH). Particle size distributions were obtained with >300 particles. Nanoparticles were reclaimed from the silica wafers after heat treatments and reactions by rinsing the Si wafer surface in ethanol and collecting the rinsing agent. The dissolved nanoparticles were then precipitated by centrifugation, redispersed in ethanol, and dropcast onto TEM grids for imaging.

Pt and PtSn catalysts had an average diameter of 2.1 ± 0.4 and  $2.2 \pm 0.4$  nm, respectively. For the CO oxidation reaction studies, the catalysts were encapsulated within inorganic porous silica shells to provide sintering resistance during long-term high-temperature studies. We did not encapsulate the catalysts for the spectroscopic studies, which were performed over relatively shorter periods of time. The encapsulation is important to maintain catalyst morphology when catalysts are supported on two-dimensional, flat, supports such as Si (or silica) wafers. The nature of the smooth support coupled with the size-dependent melting point depression of nanometer size metals [21,22] increases the rate of agglomeration. However, catalysts that are coated with porous silica shells have thermal stability up to 700 °C [18]. We were able to obtain porous shells that encapsulate multiple nanoparticles as shown in the Supplementary information Fig. S1. The encapsulated nanoparticles demonstrate the particle morphology and size (monodispersity of the nanoparticles is displayed by nanoparticle size distributions shown in the insets). Negligible changes in nanoparticle morphology were observed after multiple treatment/reaction cycles at temperatures up to 350 °C. PtSn catalysts that were reclaimed after many cycles of treatment and reaction cycles are shown in Fig. S1. The average particle size of a sample of PtSn cores after performing reaction studies was 2.3 ± 0.5 nm.

### 2.6. Chemical characterization

Chemical characterization of the catalysts was performed using lab-based and synchrotron-based XPS systems and energy disper-

sive spectroscopy (EDS). Lab-based PHI 5400 XPS system was used under ultra-high vacuum conditions with an Al anode operated at 350 W. Samples were maintained at room temperature and  $5\times 10^{-9}$  Torr vacuum during characterization. The synchrotron-based APXPS system at beamline 9.3.2 at the Advanced Light Source was operated under ultrahigh vacuum ( $1\times 10^{-9}$  Torr), 100 mTorr H $_2$  reducing conditions, and a reaction gas mixture of 100 mTorr CO and 40 mTorr O $_2$ . The sample was heated to temperatures between 100 and 375 °C using a resistive heating element positioned in contact with the sample [23]. To maintain similar penetration depths for Pt and Sn, we kept the kinetic energy constant for the Sn and Pt signals using photon energies of 320 and 740 eV, respectively (unless otherwise noted).

Curve fitting of the Sn 3d<sub>5/2</sub>, Pt 4f, and O 1s XPS spectra was performed using CasaXPS v.2.3.15 software. The binding energies in the spectra were calibrated using the Au  $4f_{7/2}$  feature at a binding energy of 84 eV [24]. The intensity of the Sn  $3d_{5/2}$ , Pt 4f, and O 1s spectra was normalized by the Au  $4f_{7/2}$  peak intensity at the constant photon energy to account for signal attenuation due to Xray absorption by ambient gases. During fitting, the position and full width at half maximum (FWHM) of the Sn 3d<sub>5/2</sub>, Pt 4f and O 1s features were held constant across different gas environments with a unique FWHM value for each chemical state (c.f. Table 1); only the area was unconstrained. The Pt 4f orbitals were described by two features for each hybrid state. We used a constant spin-orbit splitting value of 3.3 eV and a branching ratio of 0.75 for 5/2:7/2 states. The Sn  $3d_{5/2}$  spectral features were fit using an asymmetric Lorentzian distribution (LA(1.1, 1.7, 35)), and the Pt 4f and O 1s spectral features were fit using a sum of Gaussian and Lorentzian distributions (SGL(35)) as performed by Jugnet et al. [4]. The spectra were fit using a Shirley background. Relative sensitivity factors of 5.575, 4.725, and 0.71 were used for the Pt 4f, Sn  $3d_{5/2}$ , and O 1s spectra [25].

EDS measurements of ensembles of atoms were performed with an electron source operated at 120 kV on a Cu TEM grid (EDAX Si(Li) detector, Ametek, Inc).

### 2.7. Kinetic measurements

CO oxidation studies were performed in a 1-L stainless steel chamber that was sealed with Conflat flanges and Cu or Viton gaskets. A mechanical rotary pump was used to remove ambient gases before introducing the reactant gases. The pressure of the reactant gases was monitored with a capacitance manometer pressure gauge (MKS Baratron). A metal bellows recirculating pump (Senior Aerospace MB-21) was used to mix the gases within the chamber to eliminate mass transfer issues. A pervasive problem encountered with studies of CO oxidation is the local exotherms caused by the -284 kJ/mol thermodynamic energy difference between CO and CO<sub>2</sub>. The effects of temperature drift were minimized using a water-cooled heating manifold in conjunction with the resistively heated boronitride plate (Momentive) to support and heat the catalyst sample. In addition, gas-phase dilution of the reactant gases with He provided efficient conductive heat transfer away from the sample. The temperature of the sample was monitored using a type-K thermocouple. The conversion of CO to CO<sub>2</sub> was monitored using a differentially pumped quadrupole mass spectrometer (QMS, Stanford Research Systems, RGA 200) with a turbomolecular

**Table 1**Peak assignments and full width half maximum for Sn 3d<sub>5/2</sub>, Pt 4f, and O 1s X-ray photoelectron spectroscopy features.

| Sn 3d <sub>5/2</sub>                 | Location (eV) | FWHM | Pt 4f           | Location (eV) | FWHM | O 1s    | Location | FWHM |
|--------------------------------------|---------------|------|-----------------|---------------|------|---------|----------|------|
| Sn <sup>0</sup>                      | 485.2         | 0.8  | Pt <sup>0</sup> | 70.8-71.1     | 0.9  | $SnO_x$ | 530.4    | 1.4  |
| Sn(Pt)                               | 485.7         | 0.9  | Pt(Sn)          | 71.4          | 0.9  |         |          |      |
| Sn <sup>2+</sup><br>Sn <sup>4+</sup> | 486.4         | 0.9  | Pt-CO/O         | 72.5          | 1.6  |         |          |      |
| Sn <sup>4+</sup>                     | 487.3         | 1.3  |                 |               |      |         |          |      |

pump to maintain vacuum pressures (Pfeiffer, TMU-260). The reaction chamber was connected to the QMS via a 10  $\mu m$  ID by 1 m length silica capillary (Restek), which yielded a pressure of  $2\times 10^{-6}$  Torr in the QMS chamber with 760 Torr gas pressure in the reactor. Activity measurements were performed under differential conditions, in which conversion was <10%.

Measurements of reaction orders for O<sub>2</sub> were measured in the APXPS system at beamline 9.3.2 at the Advanced Light Source.

### 3. Results

### 3.1. CO oxidation reaction studies with Pt and PtSn nanoparticle catalysts

The kinetic behaviors for CO oxidation on Pt and PtSn nanoparticle catalysts were studied by measuring the net effective activation barrier ( $\Delta E_{eff}$ ) and O<sub>2</sub> reaction orders. In the presence of gaseous CO at temperatures <300 °C, Pt surfaces (and modified Pt surfaces) are highly populated by chemisorbed CO. High CO occupancy inhibits dissociative adsorption of  $O_2$  at Pt sites [6,26-28]. To determine the effects of Sn in the PtSn catalyst over  $\Delta E_{eff}$ , we chose to intentionally poison the Pt active sites using CO-rich reaction conditions (100 Torr CO and 40 Torr O<sub>2</sub>). Previous measurements of CO oxidation rates on Pt under vacuum and ambient pressures indicate negligible pressure gap [29]. Reaction orders in O2 were measured at mTorr pressures with the simultaneous XPS measurements and at Torr range pressures, which were the conditions used to measure  $\Delta E_{eff}$ . With a high population of CO adsorbed on the surface, we correlate any changes observed in the reaction mechanisms (determined from  $\Delta E_{eff}$  and  $O_2$  reaction orders) to the presence of Sn alone.

### 3.2. Effective activation barriers for CO oxidation

To compare the CO oxidation activity on the Pt and PtSn catalysts, we use measurements of  $\Delta E_{eff}$  rather than turnover frequencies (TOF). We do not provide TOF values because we have not identified an appropriate method to count active sites on the PtSn catalysts, which we show to be different than on pure Pt catalysts. To determine  $\Delta E_{eff}$  values on Pt and PtSn catalysts, the rates of CO<sub>2</sub> formation (r) were measured as a function of temperature in the range of 200–300 °C and a gas mixture of 100 Torr CO, 40 Torr O<sub>2</sub>, and 630 Torr He. Arrhenius plots ( $\ln(r)$  versus  $\mathrm{T}^{-1}$ ) for the Pt (red) and PtSn (blue) nanoparticle catalysts are shown in Fig. 1(a). A straight line indicates that mass transfer limitations are negligible. The difference in slopes indicates different  $\Delta E_{eff}$  values for the Pt and PtSn catalysts and different reaction mechanisms. The steeper slope observed with the Pt catalysts corresponds to an  $\Delta E_{eff,\mathrm{Pt}}$  = 133 ± 25 kJ/mol. This value is in general

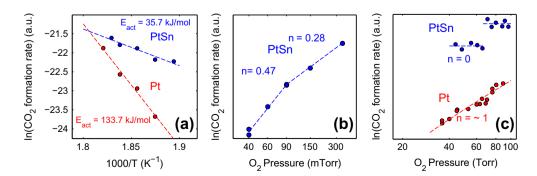
agreement with the  $\Delta E_{eff,Pt}$  values previously reported for vapor-deposited Pt catalysts on SiO<sub>2</sub> supports (110 kJ/mol) [30], nanoparticle catalysts encapsulated in SiO<sub>2</sub> (115 kJ/mol),[18] and Pt single crystals (140 kJ/mol) [31]. In comparison, PtSn catalysts promote the CO oxidation reaction with an  $\Delta E_{eff,PtSn}$  = 35.7 ± 4 kJ/mol. This value is consistent with  $\Delta E_{eff,PtSn}$  observed from thermal induced desorption on a Pt<sub>3</sub>Sn(111)-(2 × 2) single crystal (30.4 kJ/mol) [2] and ~3.8 nm Pt<sub>3</sub>Sn nanoparticle catalysts supported on Al<sub>2</sub>O<sub>3</sub> (31 kJ/mol) [32]. The difference in activation barriers is consistent with the increased activity observed previously with PtSn catalysts [1,2,6,33–36].

### 3.3. Kinetic reaction orders for O<sub>2</sub> for CO<sub>2</sub> production

The kinetic reaction orders for O<sub>2</sub> with respect to the rate of CO<sub>2</sub> formation were determined under low- and high-pressure conditions: at 200 °C with 100 mTorr CO and partial pressures of O<sub>2</sub>  $(P_{\rm O2})$  in the range of  $20 \leqslant P_{\rm O2} \leqslant 300$  mTorr and at 260 °C with 100 Torr CO and  $40 \le P_{O2} \le 100$  Torr. The values of  $n_{O2.PtSn}$  were determined from the slopes given by a  $ln(r)-ln(P_{O2})$  relationship. The reaction order for O<sub>2</sub> under low- and high-pressure conditions on PtSn (blue) catalysts is shown in Fig. 1(b) and (c); a comparison with pure Pt nanoparticles (red) is given for the high-pressure conditions. The relative rates for each catalyst shown in the figures are dependent on the number of catalytic active sites. Since the number of active sites was not measured as discussed above, the rates should not be compared directly. The Pt catalysts exhibit a  $n_{\rm O2,Pt}$  = 1.06 ± 0.18, which is consistent with previous reports of CO oxidation on Pt surfaces [30,32,37-39]. A first-order dependence in O<sub>2</sub> is also consistent in low-pressure regimes [29,37] and corresponds with a reaction mechanism that is controlled by O<sub>2</sub> adsorption before dissociation or molecular O<sub>2</sub> adsorption. Dissociation is not the rate-limiting step on pure Pt surfaces because two sites are required and  $n_{02}$  would be equal to 0.5.

On the PtSn nanoparticle catalysts, we observed a variation in  $n_{\rm O2,PtSn}$  with  $P_{\rm O2}$ . When  $P_{\rm O2}$  < 90 mTorr,  $n_{\rm O2,PtSn}$  = 0.47 ± 0.02, which is reflective of a reaction that is limited by molecular oxygen dissociation. However, when the ratio of  $O_2$ :CO increased to values above one,  $n_{\rm O2,PtSn}$  decreased to 0.27 ± 0.01. At high pressures with  $P_{\rm O2}$  > 40 Torr with 100 Torr CO,  $n_{\rm O2,PtSn}$  exhibited a value of zero. We observed a discontinuous increase in the rate at  $P_{\rm O2}$  = 70 Torr as the pressure was increased. This repeatable phenomenon may be related to a change in the surface structure of the catalyst; however, we did not pursue further investigations.

The transition from an effective half-order to zero-order reaction mechanism suggests dissociation is rate limiting at low pressures, and at higher pressures, the surfaces (and bulk) of Sn on the alloy nanoparticle catalyst are highly populated with atomic oxygen. This behavior can occur when the concentration of a given



**Fig. 1.** (a) Arrhenius plot for  $CO_2$  formation on 2 nm Pt (red) and 2 nm PtSn (blue) nanoparticle catalysts. The reaction rates were determined between 200 and 300 °C. (b) and (c) Plots of the  $ln(CO_2$  formation rate) versus  $ln(P_{O2})$  yield the reaction order in  $O_2$  for 2 nm Pt (red) and 2 nm PtSn (blue) catalysts. (For interpretation of the references to color in this figure legend, the reader is referred to the web version of this article.)

species starts low and becomes sufficiently large that the species is not depleted as the reaction proceeds. Thus, in contrast to the pure Pt catalysts that are rate limited by  $O_2$  adsorption when poisoned by CO, the trends observed on the PtSn catalyst are consistent with a reaction mechanism that is not inhibited by CO. In other words, Sn is a likely active center for  $O_2$  adsorption and dissociation. A system consisting of Pt catalysts deposited onto a  $SnO_2$  support was also found to have zero-order dependence in  $O_2$  [40].

The kinetic reaction order for CO on Pt ( $n_{\rm CO,PtS}$ ) and PtSn ( $n_{\rm CO,PtSn}$ ) catalysts were also obtained (c.f. Fig. S2, Supporting information). Both catalysts exhibited nearly identical behaviors, which confirm that CO is interacting primarily with Pt active sites and Sn does not alter CO adsorption significantly.

## 3.4. Chemical states and composition of 2 nm PtSn nanoparticles under reaction conditions

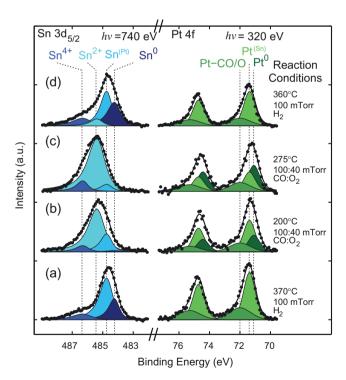
To determine the chemical states and composition of the PtSn catalyst surface during reducing pre- and post-treatments and the active state of the catalyst surface during CO oxidation, we used in situ APXPS studies. The structure and surface composition of a catalyst often change when exposed to different gas atmospheres during pre- and post-treatments and reaction conditions [7-9,41,42]. A mutable catalyst surface can positively affect a reaction by providing a new and more facile reaction pathway or negatively affect a reaction by forming a core-shell structure that encapsulates one element in a binary nanoparticle. In some catalytic systems, surface structures that are formed after pre-treatment procedures degrade under reaction conditions only to leave an inactive catalytic surface [43]. In other cases, the phase of a catalyst that provides superior catalytic activity forms only under reaction conditions. In this work, we found that the surface of the PtSn catalyst changed with variations in the reaction atmosphere, which suggests a unique active phase that is formed only under reaction conditions. When exposed to a fully reducing atmosphere. XPS measurements indicate a surface consisting of a Pt-Sn intermixed phase. However, during reaction conditions, the surface was comprised of metallic Pt and Sn oxide domains. Given the surface-sensitivity of XPS, we infer that both Pt and Sn oxide reside on the surface.

The chemical states of Pt and Sn in the PtSn nanoparticle catalysts supported on a Si wafer were monitored during heat treatment in vacuum, pre-treatment reduction in  $H_2$ , CO and  $O_2$  reaction mixtures, pure  $O_2$  and post-treatment reduction in  $H_2$ . The chemical environment of the Sn in the PtSn nanoparticle catalysts was determined by monitoring photoemission of Sn  $3d_{5/2}$  core-level electrons using a photon energy,  $hv = 740 \, \text{eV}$ , which provides a kinetic energy for the photoelectrons of  $\sim 250 \, \text{eV}$  and an inelastic mean free path of 7 Å in a pure Sn environment [44]. The chemical environment of Pt was identified by monitoring photoemission of Pt 4f core-level electrons using  $hv = 320 \, \text{eV}$  (to match the kinetic energy and probing depth used for the Sn). We also measured the chemical environment of O by monitoring photoemission of O 1s core-level electrons using  $hv = 740 \, \text{eV}$ .

### 3.5. Reducing conditions

Following a heat treatment at 356 °C in vacuum and a reducing pre-treatment at 370 °C in 100 mTorr of  $\rm H_2$ , the 2 nm PtSn nanoparticle surface was comprised of both Pt and Sn atoms. The Sn  $\rm 3d_{5/2}$  (variations in blue) and Pt 4f doublet spectra (variations in green) measured during the reducing pre-treatment are shown in Fig. 2(a). The near surface composition of Pt and Sn in the PtSn nanoparticles determined from these spectra was 54% Pt and 46% Sn. These values are in agreement with the EDS results.

The Sn core-level spectrum under reducing pre-treatment conditions (Fig. 2(a)) exhibits three distinct chemical states. The spectrum is deconvoluted into features assigned to metallic Sn (Sn<sup>0</sup>), Sn in a Pt environment (Sn<sup>(Pt)</sup>), and a Sn<sup>4+</sup> oxide state at 485.2, 485.8, and 487.3 eV, respectively. The peak locations and FWHM of all core-level features described herein are presented in Table 1. The two metallic features reside within the previously reported range of binding energies of 484.7-485.8 eV [1,4,45-48]. The positive core-level shift of the Sn(Pt) feature with respect to the location of Sn<sup>0</sup> is consistent with electron exchange between the Pt and Sn atoms in an alloy environment [1,5,46,49]. Regions of alloyed Sn with Pt and isolated domains of the elements are possible with colloidally synthesized binary metal nanoparticles, which grow inhomogeneously due to different redox potentials of the elements and the statistically random nature of atomic attachment to the metal seed during the growth stage [50]. The Sn<sup>4+</sup> core-level shift exceeds previous reports for bulk SnO<sub>2</sub> by 0.6 eV [1.51]. The binding energy shift is likely a property of the nanoparticle's dimensions [24,52,53]. A Sn<sup>2+</sup> state, which has a photoemission feature at 486.4 eV, is not present under these reducing conditions. However, prior to pre-treatment, the PtSn nanoparticles exhibited Sn<sup>0</sup>,  $Sn^{(Pt)}$ ,  $Sn^{4+}$ , and  $Sn^{2+}$  chemical states. XPS spectra of the Sn  $3d_{5/2}$ core-level electrons over the course of an hour and temperatures of 200–370 °C in vacuum – prior to exposure to the H<sub>2</sub> atmosphere - and the progression of the Sn<sup>0</sup>, Sn<sup>(Pt)</sup>, Sn<sup>2+</sup>, and Sn<sup>4+</sup> states during the treatment are shown in Figs. S4 and S5 in the Supporting



**Fig. 2.** In situ Sn  $3d_{5/2}$  (variations in blue) and Pt 4f (variations in green) ambient pressure X-ray photoelectron spectra for 2 nm PtSn nanoparticle catalysts during (a) pre-treatment reduction in 100 mTorr  $H_2$  at  $370\,^{\circ}\text{C}$ , (b) reaction conditions with 100 mTorr CO and 40 mTorr  $O_2$  at  $200\,^{\circ}\text{C}$ , (c) reaction conditions with 100 mTorr CO and 40 mTorr  $O_2$  at  $275\,^{\circ}\text{C}$ , and (d) post-treatment in 100 mTorr  $H_2$  at  $360\,^{\circ}\text{C}$ . The Sn  $3d_{5/2}$  spectra are deconvoluted into peaks assigned to metallic Sn (Sn<sup>0</sup>), Sn in a Pt environment (Sn<sup>(Pt)</sup>), Sn<sup>2+</sup>, and a Sn<sup>4+</sup> oxide state at 485.2, 485.8, 486.4 and 487.3 eV, respectively. The Pt 4f spectra are deconvoluted into peaks assigned to metallic Pt (Pt<sup>0</sup>), Pt in a Sn environment (Pt<sup>(Sn)</sup>), and a feature that possibly includes Pt bound to chemisorbed CO, O, and Pt-carbonyl from the capping agent (Pt–CO/O) at 70.8, 71.4, and 72.5 eV. The spectra indicate that PtSn catalysts segregate into Sn<sup>2+</sup> and Pt<sup>0</sup> domains under reaction conditions from an intermixed Pt and Sn domain under  $H_2$  reducing conditions. (For interpretation of the references to color in this figure legend, the reader is referred to the web version of this article.)

information, respectively. As the PtSn nanoparticles were heated in vacuum to 356 °C, the concentration of oxide features diminished at the benefit of the  $\rm Sn^0$  and  $\rm Sn^{(Pt)}$  components. While  $\rm Sn^{4+}$  remained following treatment, the  $\rm Sn^{2+}$  was completely reduced.

The Pt  $4f_{7/2}$  orbital spectra are deconvoluted into two features for each hybrid state: Pt in a Sn environment (Pt<sup>(Sn)</sup>) located at 71.4 eV, which complements the Sn<sup>(Pt)</sup> feature and a relatively broad feature at 72.5 eV that possibly includes Pt bound to chemisorbed CO, O, and Pt-carbonyl from the polyvinylpyrrolidone (PVP) capping agent (Pt–CO/O) [4,26]. A shift of the Pt core-level electrons in X-ray absorption studies (i.e., whiteline position) has also been observed in the presence of Sn for Pt<sub>x</sub>Sn alloy nanoparticle catalysts [54]. The combination feature at 72.5 eV does not include Pt oxides, which exhibit a Pt<sub>7/2</sub> core-level feature that appears above 73 eV [6,26,54,55]. Matching Pt  $4f_{5/2}$  hybrid orbital features are shown with a spacing of 3.3 eV above the Pt  $4f_{7/2}$  orbital.

The chemical states of O during reducing and reaction environments are shown by photoemission of O 1s core-level electrons in the XPS spectra in Fig. S6 of the Supporting information. The O 1s spectrum shows no indication of O in Sn oxide during reducing pre-treatments.

As a reference for the different chemical states of Pt, Sn, and O, we characterized nanoparticles of pure Pt, pure Sn, and PtSn *ex situ* under vacuum conditions using a lab-based XPS system. A comparison of the Pt 4f, Sn  $3d_{5/2}$ , and O 1s spectra for the three nanoparticle systems after a reduction treatment at 350 °C in 1 atm of  $H_2$  and transfer through ambient conditions to the analysis chamber is shown in Fig. S2 of the Supporting information.

#### 3.6. Reaction conditions

The active phase of the PtSn catalysts under a reaction atmosphere of 100 mTorr CO and 40 mTorr  $O_2$  at 200 °C is shown in Fig. 2(b). The gas-phase ratio used in the APXPS studies matches the gas ratio used in the kinetic studies with excess CO to poison the Pt sites. An XPS signal corresponding to  $CO_2$  in the O 1s XPS spectrum (shown in Fig. S6(c)) and measurements using a quadruple mass spectrometer operating inside the XPS (not shown) confirm that the PtSn catalyst produces rapid turnover to  $CO_2$ .

Two changes occurred in the Sn and Pt XPS spectra with respect to the chemical states during reducing atmospheres: (1) a Sn<sup>2+</sup> feature located at 486.4 eV appeared at the expense of  $\mathrm{Sn^{(Pt)}}$  and  $\mathrm{Sn^0}$ and (2) a feature at 70.8 eV corresponding to Pt<sup>0</sup> that is not near Sn atoms increased at the expense of Pt<sup>(Sn)</sup> and Pt-CO/O. The Pt<sup>0</sup> feature is located 0.6 eV lower than the Pt(Sn) feature and is consistent with the binding energy of bulk metallic Pt [26]. Continuing with a gaseous atmosphere of 100 mTorr CO and 40 mTorr O2 at a higher temperature of 275 °C, the proportion of Sn<sup>2+</sup> and Pt<sup>0</sup> increased further. The formation of Sn<sup>2+</sup> and Pt<sup>0</sup> at the expense of the Sn(Pt) and Pt(Sn) intermixed domain indicates atomic segregation in the CO:O2 reaction environment to form an active phase composed of Sn oxide and metallic Pt islands rather than an active phase composed of the intermixed alloy. The XPS oxygen signal (O 1s spectrum shown in the Supporting information) also exhibits a feature that is consistent with oxidized Sn (Fig. S6).

### 3.7. Post-reaction reduction treatment

The formation of separate  $Pt^0$  and Sn oxide domains determined by the unique XPS peaks was found to be reversible. The Sn  $3d_{5/2}$  and Pt 4f spectra during post-treatment in 100 mTorr  $H_2$  at 360 °C are shown in Fig. 2(d). Again, the dominant features in the Sn  $3d_{5/2}$  spectra comprise  $Sn^0$ ,  $Sn^{(Pt)}$ , and  $Sn^{4+}$ , while the dominant features in the Pt 4f spectra comprise  $Pt^{(Sn)}$  and Pt-CO/O. Comparison of the Sn  $3d_{5/2}$  and Pt 4f spectra during pre-treatment and post-treatment indicates that re-reducing the catalyst following

the reaction prompted the Pt and Sn atoms to return to the physically-mixed, metallic state that was measured prior to performing the reaction. A minority amount of Sn<sup>2+</sup> remained on the surface during the post-treatment reduction; however, the trends observed over the course of the post-treatment suggest that the Sn<sup>2+</sup> will diminish completely. The XPS spectra over the course of the post-treatment and the progression of the Sn<sup>0</sup>, Sn<sup>(Pt)</sup>, Sn<sup>2+</sup>, and Sn<sup>4+</sup> states are shown in Figs. S7 and S8 of the Supporting information, respectively.

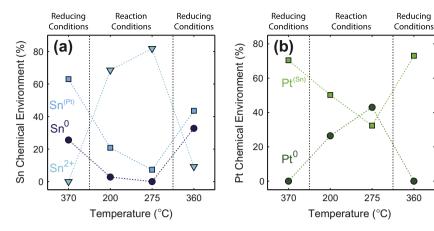
### 3.8. Progression of Sn and Pt chemical environment over the course of treatment

The progression of Sn<sup>0</sup>, Sn<sup>(Pt)</sup>, and Sn<sup>2+</sup> and Pt<sup>0</sup> and Pt<sup>(Sn)</sup> from pre-treatment, reaction, and post-treatment conditions is shown in Fig. 3(a) and (b) for the Sn and Pt species, respectively. Neither Sn<sup>4+</sup> nor Pt-CO/O compositions are shown in the figures because the chemical states exhibit negligible change when switching atmospheres. The two panels display a correlation between the Sn(Pt), Sn0, and Pt(Sn) states and a correlation between the Sn2+ and Pt<sup>0</sup> states. Under reaction conditions, the concentration of the intermixed domains decreases while the metallic Pt and Sn oxide domains increase. Under reaction conditions at 275 °C, the concentration of  $\text{Sn}^{2+}$  reached  ${\sim}82\%$  with negligible  $\text{Sn}^{0}$  while the Sn<sup>(Pt)</sup> state endured. The presence of the Pt<sup>(Sn)</sup> and Sn<sup>(Pt)</sup> states indicates that an interface remains between Pt and Sn rather than complete phase separation into separate particles. The increase in Pt<sup>(Sn)</sup> and reemergence of Sn<sup>(Pt)</sup> states during post-treatment also coheres with an interface where Sn<sup>2+</sup> is reduced at the Pt interface and diffuses to form the intermixed Pt-Sn domains. Sn<sup>0</sup> that does not intermix with Pt is formed from the Sn<sup>2+</sup> atoms that are located in the interior of the domains or in the subsurface/bulk of the nanoparticle.

Following the first pre-treatment,  $\operatorname{Sn^{4+}}$  was observed with a composition of  $\sim 15\%$  relative to all Sn species regardless of the gas atmosphere and temperature. The fact that  $\operatorname{Sn^{4+}}$  does not increase in content (not shown) suggests that nanoparticles remain as in a single composite matrix rather than separate into isolated nanoparticles as was shown by Uemera et al. [54]. The presence of  $\operatorname{Sn^{4+}}$  during the reaction conditions can be explained by a disproportionation mechanism of  $\operatorname{Sn^{2+}}$ :  $2\operatorname{Sn^{2+}} \to \operatorname{Sn^0} + \operatorname{Sn^{4+}}$ . The disproportionation was shown previously by Axnanda et al. [1].

### 4. Discussion

The catalytic benefits of Sn when added to Pt catalysts for CO oxidation have been described by changes in the binding of CO and O to the catalyst surface. Both spectroscopic measurements and theoretical predications on Pt<sub>3</sub>Sn(111) alloy single crystal surfaces have indicated that Sn shifts the d-band of Pt away from the Fermi level, and thus reduces the adsorption strength of CO on Pt (i.e., electronic ligand effects) [5,49,56]. Reducing the binding strength of CO promotes desorption at lower temperatures and allows for O<sub>2</sub> adsorption; that is, the alloy reduces the effects of CO inhibition over the net oxidation rate. PtSn hollow adsorption sites on Pt<sub>3</sub>Sn(111) alloy single crystal surfaces were also proposed to be influential in the reaction. The hollow sites were proposed to have a lower activation barrier for O<sub>2</sub> adsorption and dissociation in the presence of CO (i.e., ensemble effects) [4]. Enhanced CO oxidation at the interface between Pt (with adsorbed CO) and Sn (with adsorbed O) has also been proposed using Pt supported on Sn oxide and inverse catalysts of Sn oxides supported on Pt single crystals [1,3,40,57,58]. Our results using real PtSn nanoparticle catalysts also indicate that a reaction at a metal/metal oxide interface is responsible for the high activity.



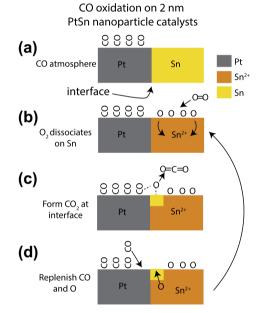
**Fig. 3.** Progression of the different chemical environments of (a) Sn and (b) Pt in the 2 nm PtSn nanoparticle catalysts when transitioning from pre-treatment, reaction, and post-treatment conditions. The trends for  $Sn^0$ , Sn(Pt),  $Sn^{2+}$ ,  $Pt^0$  and Pt(Sn) correspond to features in the ambient pressure X-ray photoelectron spectra in Fig. 2. Under  $H_2$  reducing conditions,  $Sn^0$ , Sn(Pt), and Pt(Sn) are the dominant chemical states. During CO oxidation, the dominant chemical states are  $Sn^{2+}$  and  $Pt^0$ . The dynamic behavior suggests that the elements migrate to form distinguishable domains and the reaction occurs at a Pt/Sn oxide interface.



**Fig. 4.** Illustration of the segregation behavior between (a) intermixed Pt and Sn domains (reducing conditions) and (b) Pt and Sn oxide domains (reaction conditions) for a 2 nm PtSn nanoparticle. Pt and Sn atoms are gray and orange, respectively. The illustration does not distinguish between different chemical states of Pt and Sn. (For interpretation of the references to color in this figure legend, the reader is referred to the web version of this article.)

The spectroscopic results presented herein confirm that Pt and Sn are mobile within the nanoparticle and diffuse to form separate domains on the nanoparticle surface. The facile formation of isolated and intermixed domains suggests that Pt and Sn do not completely phase separate to form isolated nanoparticles. This behavior, which yields SnO<sub>2</sub>, has been shown to occur under oxidizing conditions at temperatures above 350 °C [54]. As we determined when measuring the reference spectra, pure Sn is not readily reduced back to the lower oxidation states of Sn. Therefore, the reversible nature of Sn oxide to metallic Sn - and the formation of intermixed Pt and Sn domains - suggests that the atoms remain intact within a single nanoparticle composite. Under reducing conditions, the Pt and Sn atoms diffuse together to form a mixed phase, which was identified by the positive core-level shift in the XPS spectra. During CO oxidation, the spectroscopic signatures of intermixed Sn and Pt atoms disappeared at the expense of metallic Pt and oxidic Sn. In this picture, adsorbed CO resides on Pt domains, while O resides on or in the bulk of Sn domains. An illustration of the physical changes at the surface of 2 nm PtSn nanoparticle catalysts is shown in Fig. 4 with gray and orange atoms representing the Pt and Snx+, respectively, in (a) reducing and (b) reaction conditions. The illustration does not distinguish between different oxidation states of Sn.

The kinetic results corroborate the concept of different CO and O adsorption sites. In contrast to the first-order dependence observed for  $O_2$  on the Pt catalysts, the dependence of  $O_2$  for  $CO_2$  formation on



**Fig. 5.** Proposed mechanistic scheme for CO oxidation on 2 nm PtSn nanoparticles. Pt and Sn domains form an interface that exists at the nanoparticle surface under reaction conditions. CO is adsorbed only on Pt domains (a). When  $O_2$  is added to the gas mixture,  $Sn^0/Sn(Pt)$  converts to  $Sn^{2+}$  (b).  $CO_2$  is formed at the interface of Sn oxide and Pt domains whereby CO from Pt reduces a  $Sn^{2+}-Sn^0$  redox couple (c) and the Sn site is replenished by O from the oxide reservoir (d).

the PtSn catalysts ranged from half- to zero-order with increased pressure. Pure Sn single crystals readily dissociate O<sub>2</sub> at room temperature to form chemisorbed surface Sn oxide with negligible activation barrier [59,60]. O also diffuses into the subsurface and bulk of Sn with low activation barriers (<30 kJ/mol) [46,59–61]. Thus, the trends from half- to zero-order dependence in O<sub>2</sub> for CO<sub>2</sub> formation on the PtSn nanoparticle catalyst can be related to dissociation of molecular oxygen at low partial pressures and surfaces that are highly concentrated with O adsorbed on/within the Sn domains of the nanoparticle at high pressures.

The active Sn oxide phase or the Sn species being reduced by CO remain under debate. Some reports argue that  $SnO_2$  is the active state of the catalyst given the high concentration of the  $Sn^{4+}$  oxidation state observed during *ex situ* studies [3,34]. Others have argued that SnO is the active phase, including a recent study on a

Pt<sub>3</sub>Sn(111) single crystal that monitored the surface chemistry using APXPS [1,4]. The predominance of Sn<sup>2+</sup> observed under reaction conditions in our study confirms that Sn<sup>2+</sup> must be the active phase of Sn on PtSn nanoparticle catalysts, which agrees with the results from Axnanda et al. who showed this type of behavior with Sn nanoparticles deposited on Pt surfaces [1]. The likely mechanism for CO oxidation involves a redox shuttle at the interface of Pt and Sn with concerted renewal of O. There are two possible Sn redox couples: Sn<sup>4+</sup>-Sn<sup>2+</sup> or Sn<sup>2+</sup>-Sn<sup>0</sup>. Previous reports have shown that CO will not reduce SnO<sub>2</sub> [1,6,54,56,62] or a surface composed of 1 ML of SnO<sub>2</sub> deposited onto a Pt(111) single crystal [1]. Moreover, we observed that SnO<sub>2</sub> will not completely reduce under a H<sub>2</sub> environment (H<sub>2</sub> has much higher reduction potential than CO). This indicates that a Sn<sup>4+</sup>-Sn<sup>2+</sup> redox couple is unlikely. Rather, CO reduces SnO located at the Pt/Sn interface with concerted renewal of O (Sn<sup>2+</sup>–Sn<sup>0</sup> redox couple). This mechanism is depicted in Fig. 3, where a Pt-Sn interface is already formed. CO adsorbs only on the Pt domains and hinders O occupation; and thus, O adsorbs only on Sn domains. When the catalyst is exposed to CO and O<sub>2</sub> (Fig. 5(b)), O<sub>2</sub> dissociates on the surface of the Sn domains to form Sn<sup>2+</sup> chemical states (e.g., SnO) [46]. Formation of subsurface and bulk Sn oxides has been shown previously on PtSn alloy and Sn single crystal surfaces [46,59,60]. CO<sub>2</sub> then forms from oxygen atoms at the edges of Sn domains (interface) by CO on the Pt domains.

#### 5. Conclusions

PtSn catalysts provide an alternative and more facile reaction pathway for CO oxidation in comparison to pure Pt catalysts. We show that the kinetic mechanism of CO oxidation on PtSn catalysts is not limited by O<sub>2</sub> adsorption as observed on pure Pt catalysts. Instead, the PtSn catalyst is highly populated by O on the surface and subsurface regions of Sn. Ambient pressure X-ray photoelectron spectroscopy measurements of monodisperse PtSn nanoparticle catalysts showed that Pt and Sn atoms are mobile within the nanoparticles. The PtSn nanoparticles transform from intermixed Pt and Sn domains to metallic Pt and oxidic Sn domains during CO oxidation. While pure Pt catalysts displayed a reaction mechanism with an activation barrier of 133 kJ/mol, the PtSn catalysts displayed a reaction mechanism with an activation barrier of 35 kJ/mol. By intentionally poisoning the Pt sites with CO, we revealed that the low activation barrier was due to a redox reaction (Sn<sup>2+</sup>-Sn<sup>0</sup> redox couple) that occurred at the interface of Pt and Sn oxide domains. We determined that the dominant chemical species of Sn was the partially oxidized Sn<sup>2+</sup> rather than the fully oxidized Sn<sup>4+</sup>. The high mobility of Pt and Sn in the nanoparticles and the reversibility of the chemical state with changing atmospheres make this catalytic system difficult to study using ex situ methods. The key to understanding the active phase of a catalyst is measuring the atomiclevel properties under reaction conditions.

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### Appendix A. Supplementary material

Supplementary data associated with this article can be found, in the online version, at http://dx.doi.org/10.1016/j.icat.2014.01.005.

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