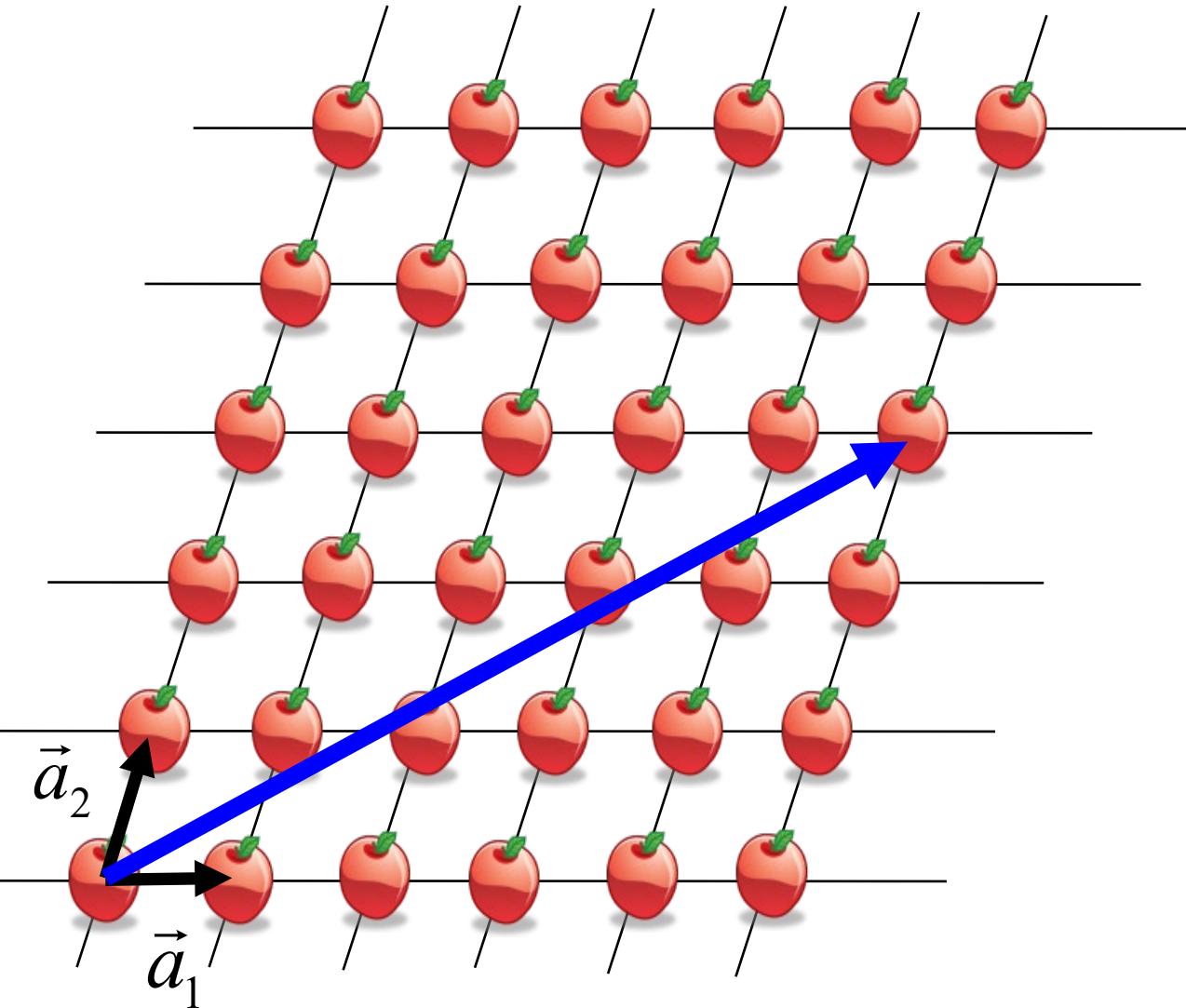


# Lattice translation, primitive lattice vector



1. The lattice with the basis of single apple

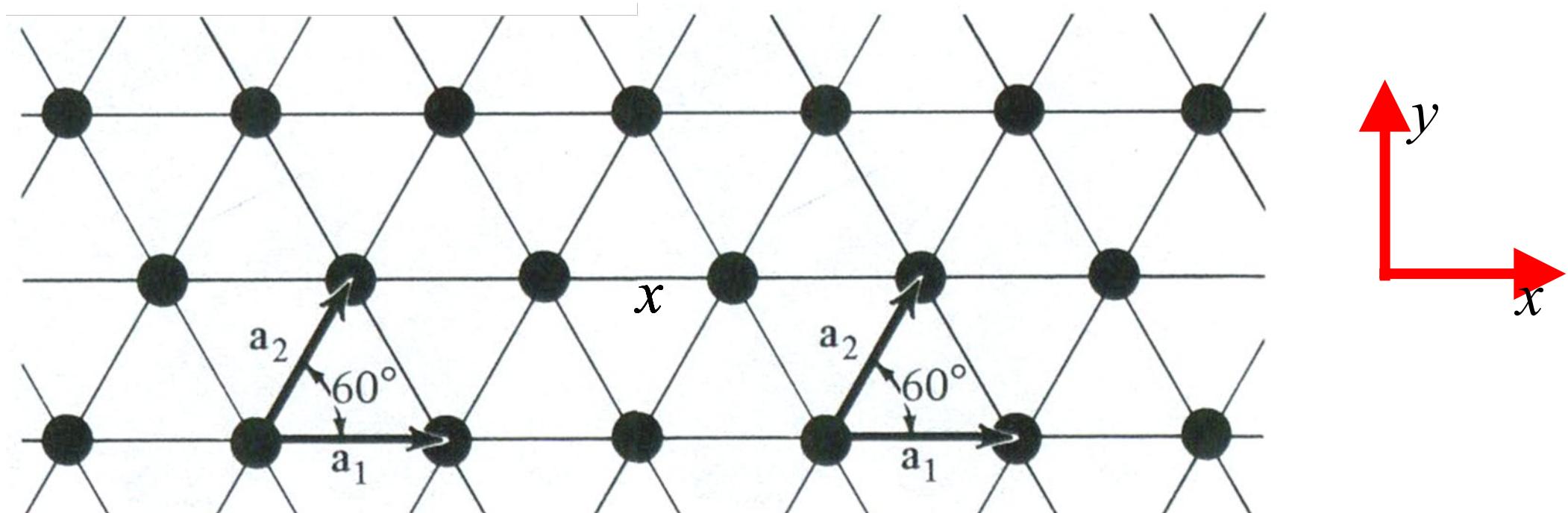
2. Lattice vector

$$\vec{R} = n\vec{a}_1 + m\vec{a}_2 + l\vec{a}_3$$

3. Primitive lattice vector

$$\vec{a}_1, \vec{a}_2, \vec{a}_3$$

# Triangular lattice

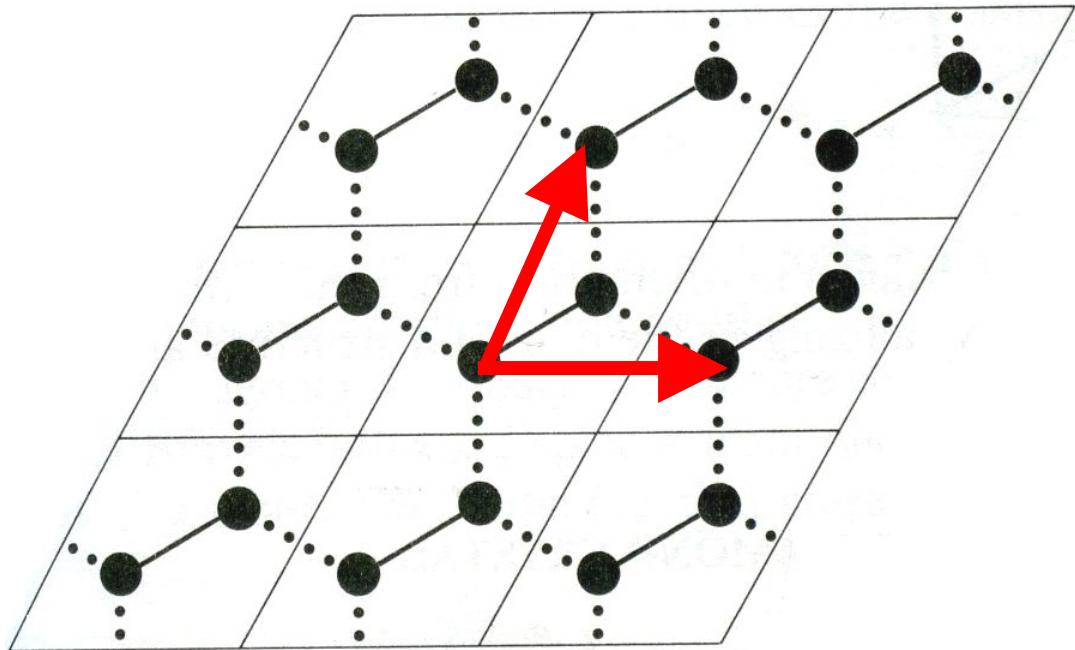
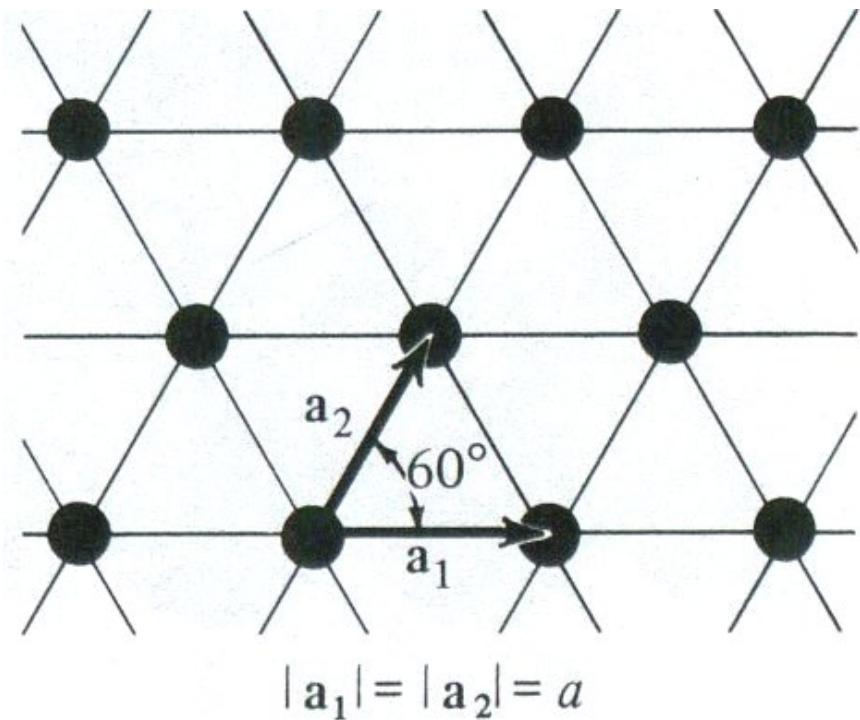


$$|\mathbf{a}_1| = |\mathbf{a}_2| = a$$

$$\vec{a}_1 = (a, 0, 0)$$

$$\vec{a}_2 = \left(\frac{1}{2}a, \frac{\sqrt{3}}{2}a, 0\right)$$

# Honeycomb = triangular lattice with two basis



# For a lattice defined by $\vec{a}_1, \vec{a}_2, \vec{a}_3$



1. Unit cell volume

$$V = \vec{a}_1 \cdot (\vec{a}_2 \times \vec{a}_3)$$

2. The primitive lattice vectors for the reciprocal lattice

$$\vec{b}_1 = \frac{2\pi}{V} (\vec{a}_2 \times \vec{a}_3), \quad \vec{b}_2 = \frac{2\pi}{V} (\vec{a}_3 \times \vec{a}_1), \quad \vec{b}_3 = \frac{2\pi}{V} (\vec{a}_1 \times \vec{a}_2)$$

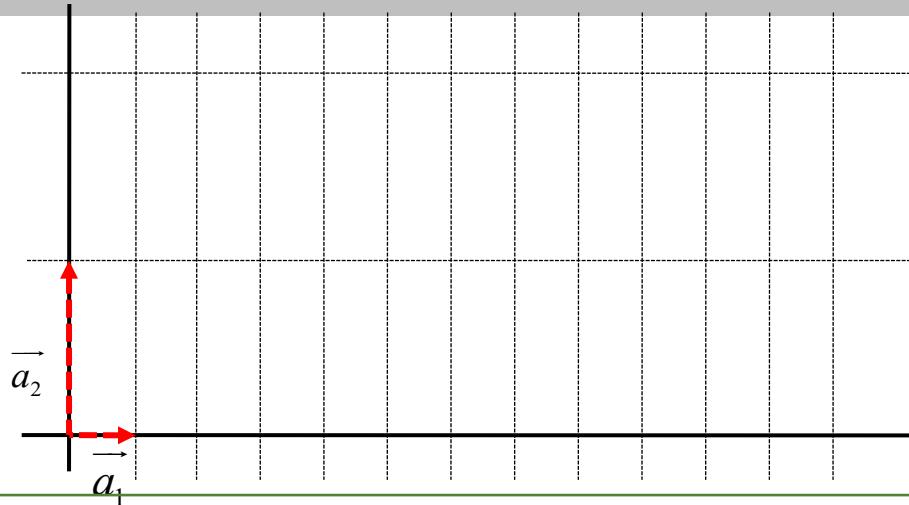
3. Show that

$$\vec{a}_i \cdot \vec{b}_j = 2\pi \delta_{i,j}$$

$$\vec{a}_1 \cdot \vec{b}_1 = ???$$

$$\vec{a}_1 \cdot \vec{b}_2 = ???$$

## Example: an orthorhombic lattice

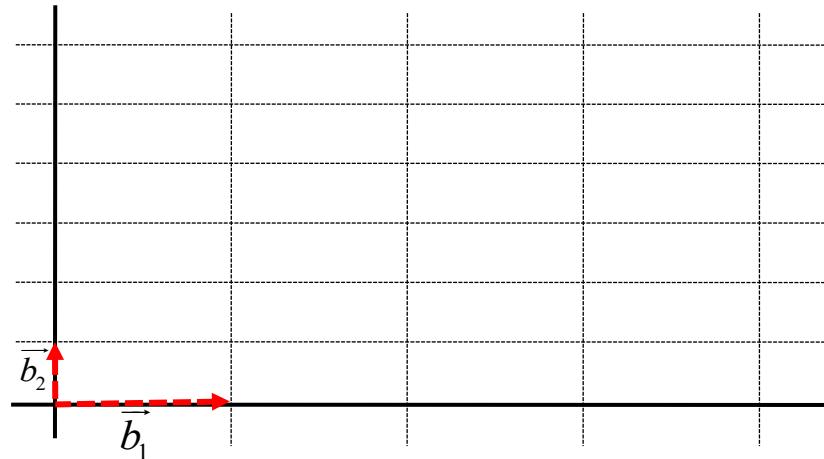


$$\left\{ \begin{array}{l} \vec{a}_1 = a \hat{x} \\ \vec{a}_2 = 3a \hat{y} \\ \vec{a}_3 = a \hat{z} \end{array} \right.$$

$$V = \vec{a}_1 \cdot (\vec{a}_2 \times \vec{a}_3) = 3a^3$$

$$\vec{b}_1 = 2\pi \frac{3a^2}{3a^3}, \quad \vec{b}_2 = 2\pi \frac{a^2}{3a^3}, \quad \vec{b}_3 = 2\pi \frac{3a^2}{3a^3}$$

$$\vec{b}_1 = \frac{2\pi}{a} \hat{x}, \quad \vec{b}_2 = \frac{2\pi}{3a} \hat{y}, \quad \vec{b}_3 = \frac{2\pi}{a} \hat{z}$$



$$\vec{a}_1 \cdot \vec{b}_1 = 2\pi$$

$$\vec{a}_1 \cdot \vec{b}_3 = 0$$

$$\vec{a}_1 \cdot \vec{b}_2 = 0$$

$$\vec{a}_2 \cdot \vec{b}_2 = 2\pi$$

⋮

  $\vec{a}_i \cdot \vec{b}_j = 2\pi\delta_{ij}$

Direct Lattice

Reciprocal Lattice

$$\left\{ \vec{a}_1, \vec{a}_2, \vec{a}_3 \right\}$$

$$\vec{R} = n_1 \vec{a}_1 + n_2 \vec{a}_2 + n_3 \vec{a}_3$$

$$\left\{ \vec{b}_1, \vec{b}_2, \vec{b}_3 \right\}$$

$$\vec{G} = l_1 \vec{b}_1 + l_2 \vec{b}_2 + l_3 \vec{b}_3$$

$$\vec{a}_i \cdot \vec{b}_j = 2\pi \delta_{ij}$$

$$\begin{aligned}\vec{R} \cdot \vec{G} &= 2\pi (n_1 l_1 + n_2 l_2 + n_3 l_3) \\ &= 2\pi \times (\text{integer})\end{aligned}$$

(a lattice vector) • (a Reciprocal lattice vector) = integer multiple of  $2\pi$

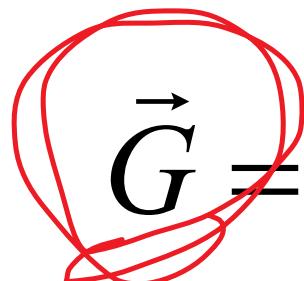
# We have three vectors

$$\rho(\vec{r}') = \rho(\vec{r}' + \vec{R})$$

1. Lattice vectors

$$\vec{R} = n\vec{a}_1 + l\vec{a}_2 + m\vec{a}_3$$

2. Reciprocal Lattice vectors


$$\vec{G} = n\vec{b}_1 + l\vec{b}_2 + m\vec{b}_3$$

Fourier transformation,,

Fourier **wave vector** = Reciprocal Lattice  
vector

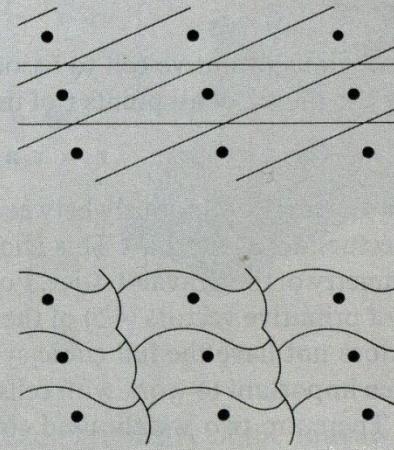
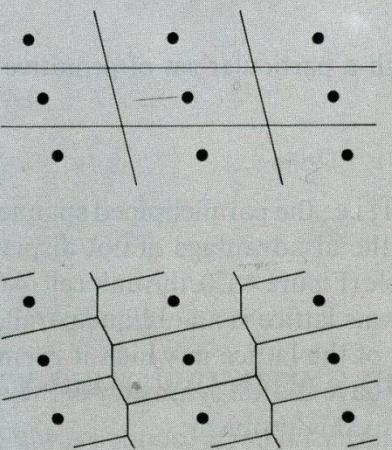
3. Bloch vectors

$$\vec{k} = \alpha\vec{b}_1 + \beta\vec{b}_2 + \gamma\vec{b}_3$$

# Lattice translation, primitive lattice vector

$$\vec{R} = n\vec{a}_1 + m\vec{a}_2 + l\vec{a}_3$$

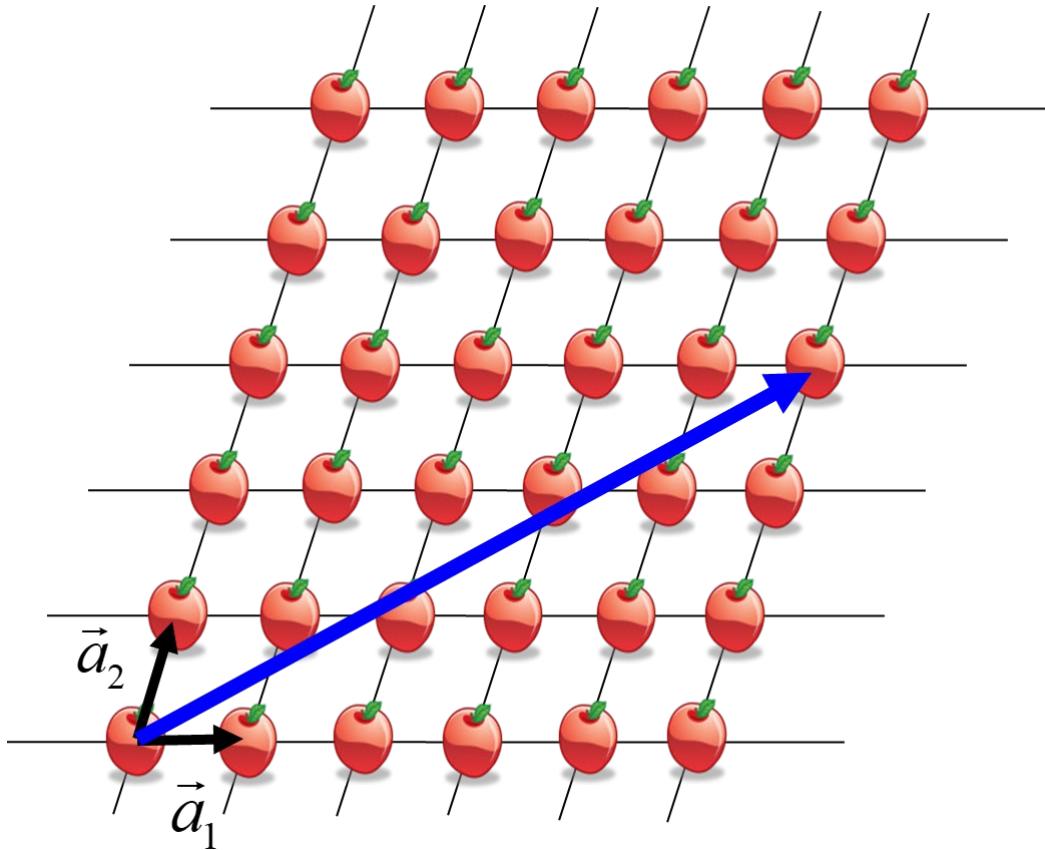
Primitive unit cell, through the lattice translations, can fill out the space without overlap and without void.



$$V = \vec{a} \cdot (\vec{b} \times \vec{c})$$

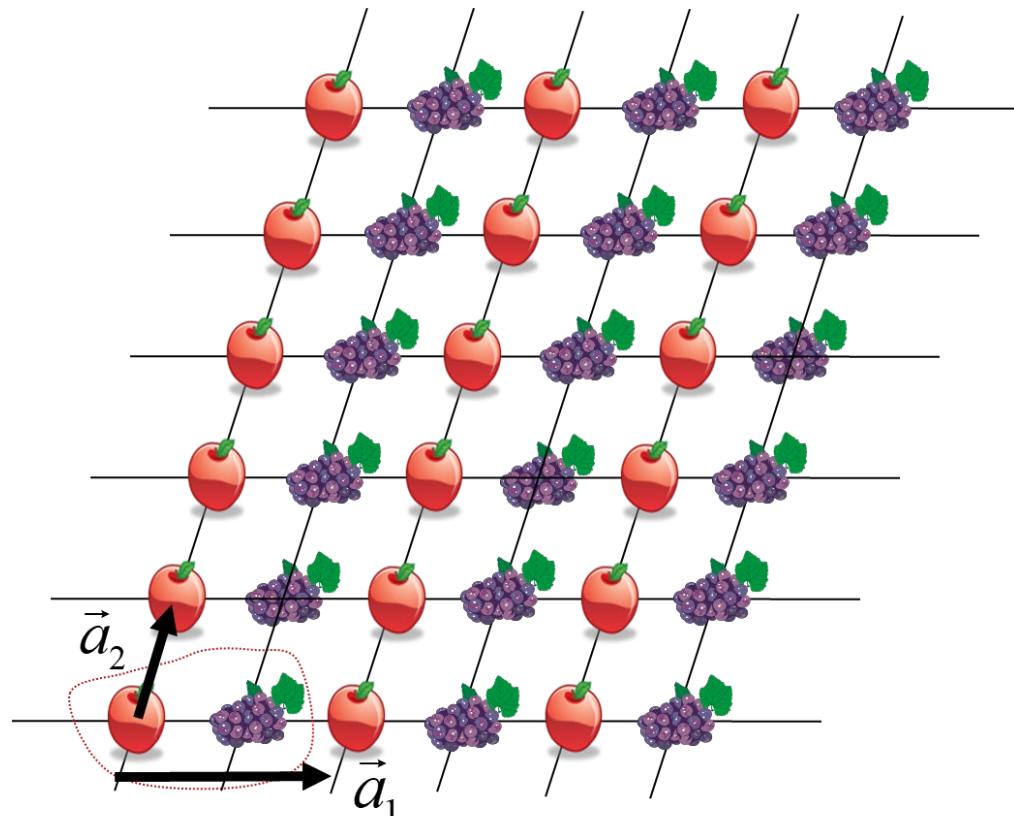
Volume of unit-cell is defined above, but the shape of unit-cell can be arbitrary.

# The basis of the lattice

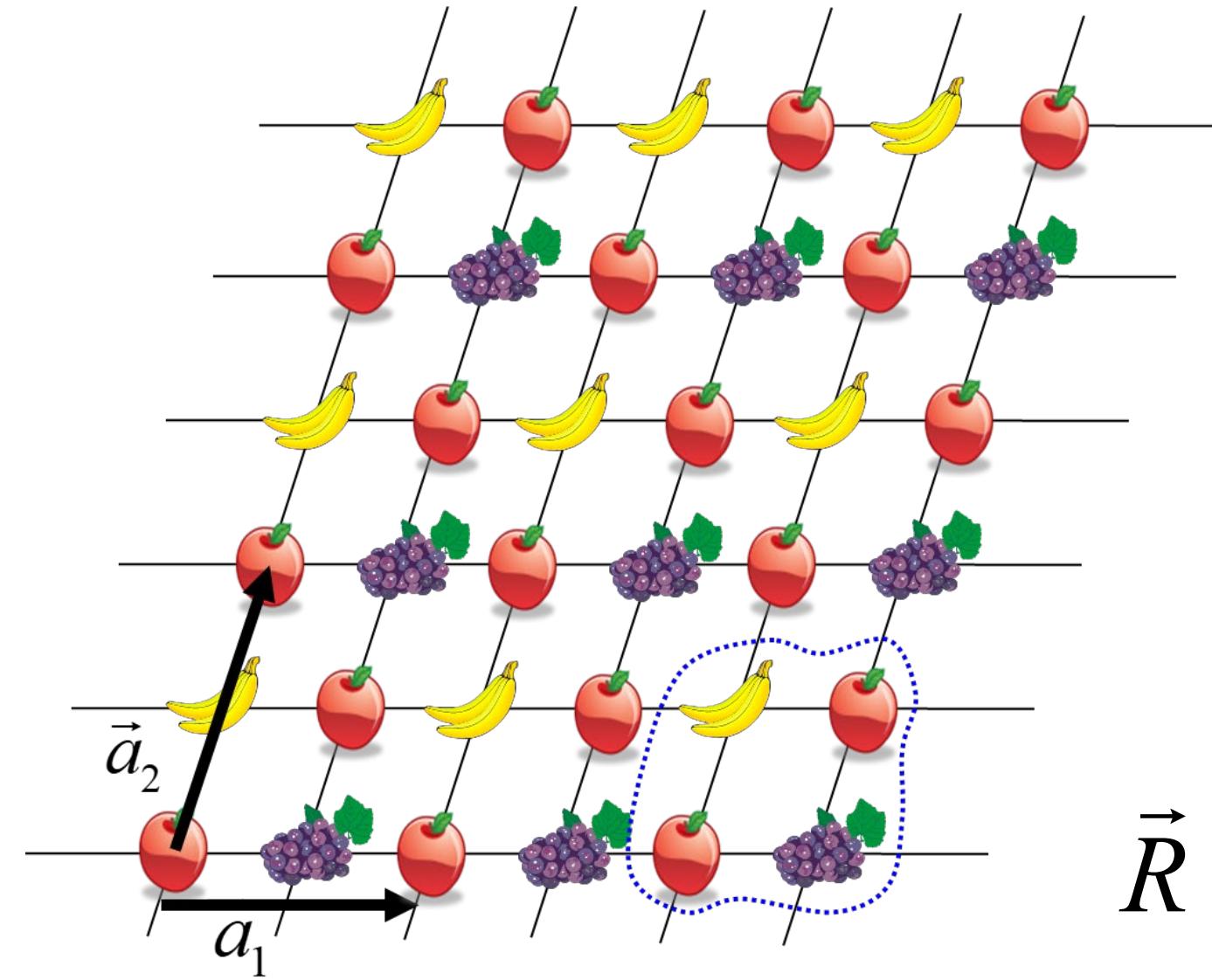


Single apple

One apple and one grape

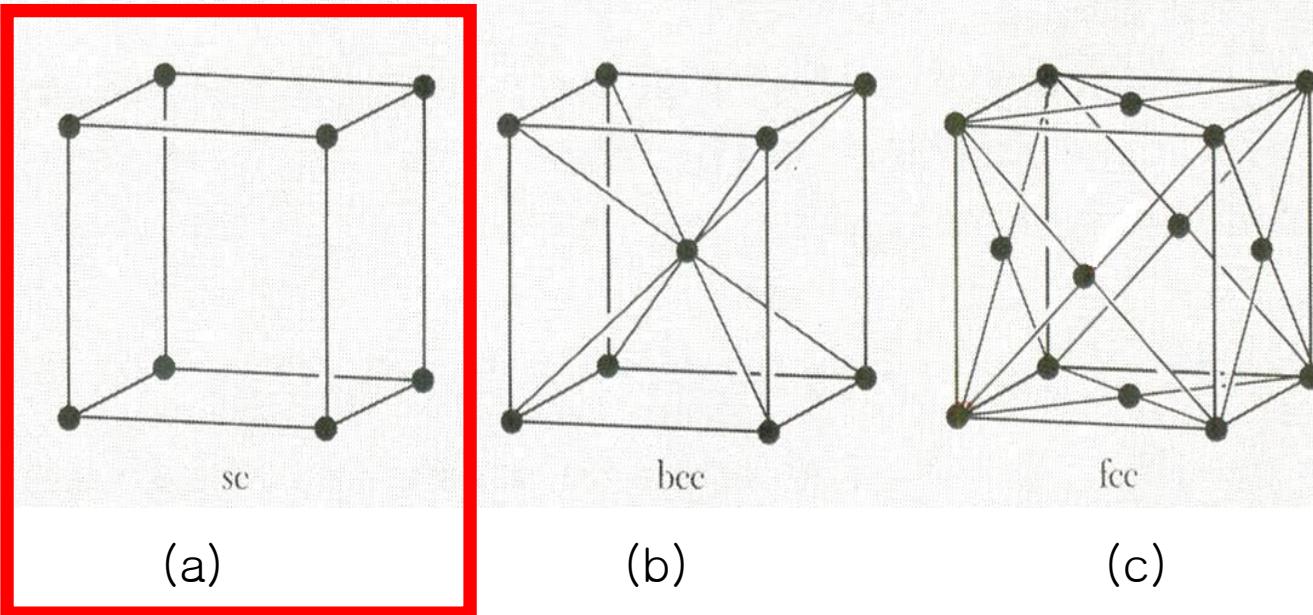


# The basis of the lattice



$$\vec{R} = n\vec{a}_1 + m\vec{a}_2$$

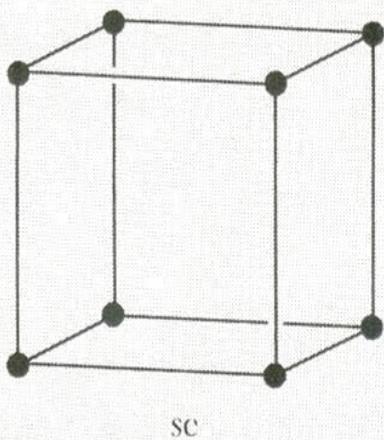
# Cubic lattice



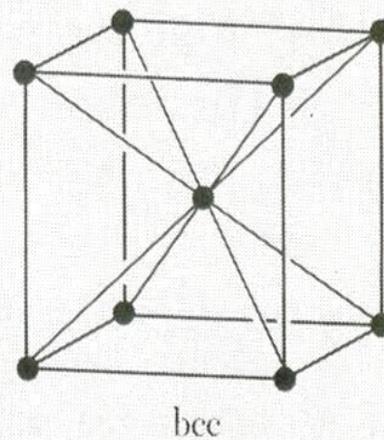
(a) Simple Cubic Bravais lattice with the primitive cell of

$$\vec{a}_1 = a\hat{i}, \quad \vec{a}_2 = a\hat{j}, \quad \vec{a}_3 = a\hat{k}$$

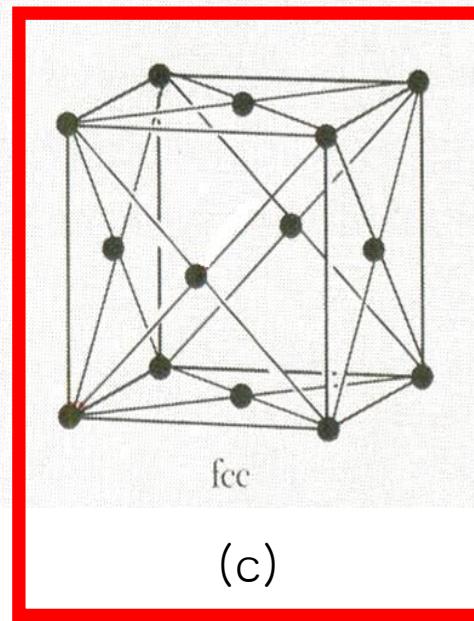
# How many lattice points in the unit cell ?



(a)



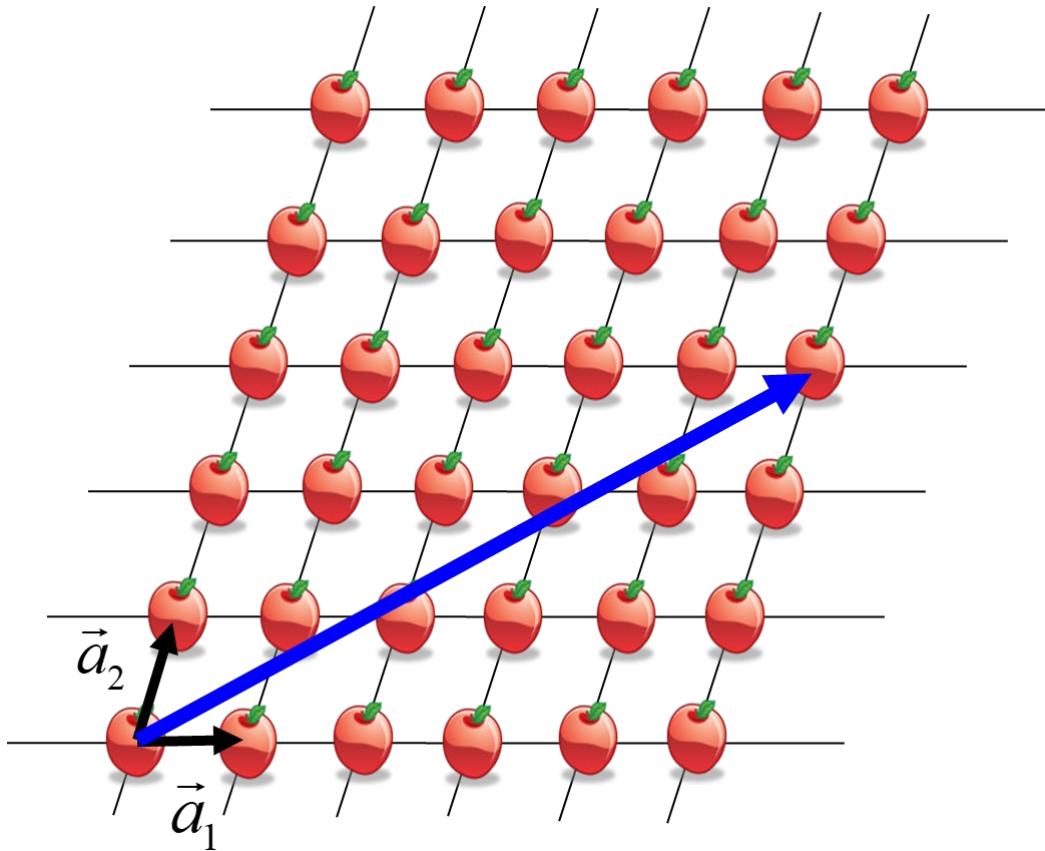
(b)



(c)

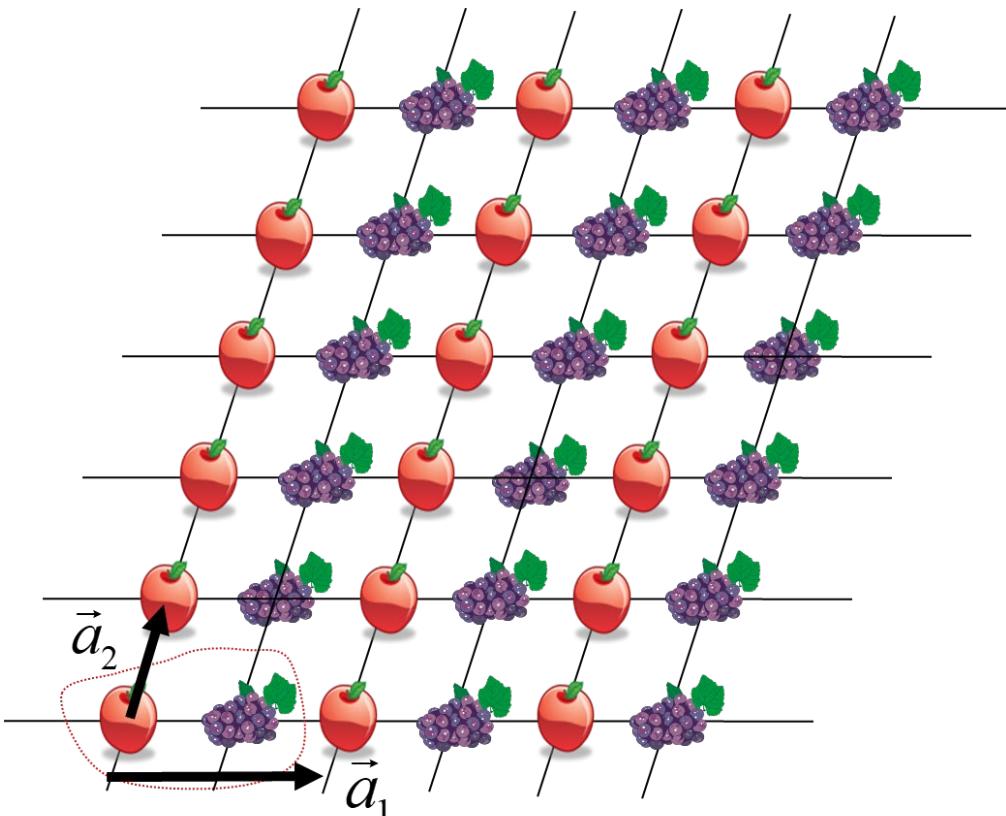
What is the lattice for the structure (c ) ?

# How many lattice points in the unit cell ?

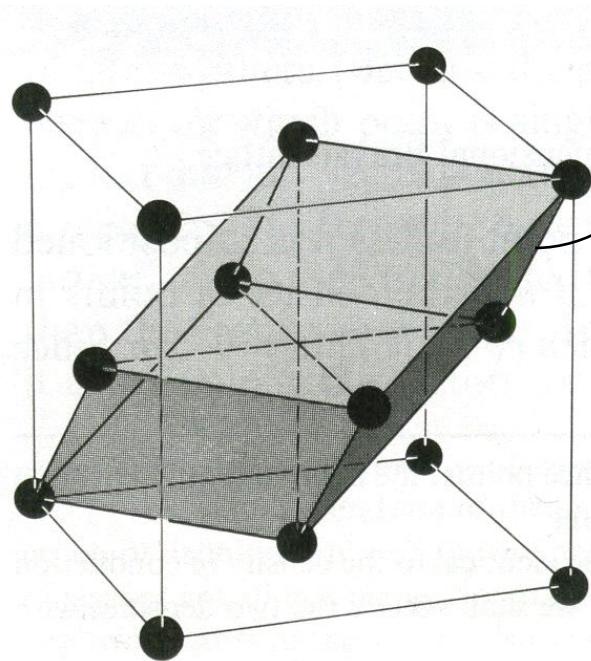


Single apple

One apple and one grape



# Face-centered Cubic

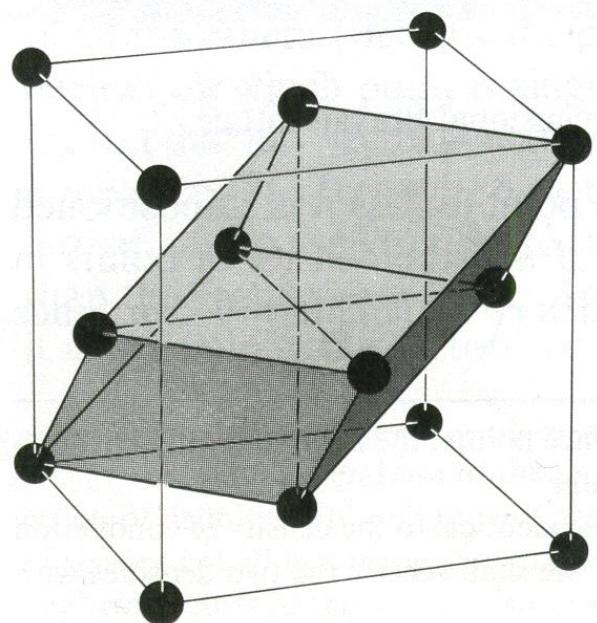


$$\left. \begin{array}{l} \vec{a}_1 = \frac{a}{2}(\hat{i} + \hat{j}) \\ \vec{a}_2 = \frac{a}{2}(\hat{j} + \hat{k}) \\ \vec{a}_3 = \frac{a}{2}(\hat{k} + \hat{i}) \end{array} \right\}$$

FCC Bravais lattice,

Remember it has one spherical symmetric object in the unit cell

# Face-centered Cubic

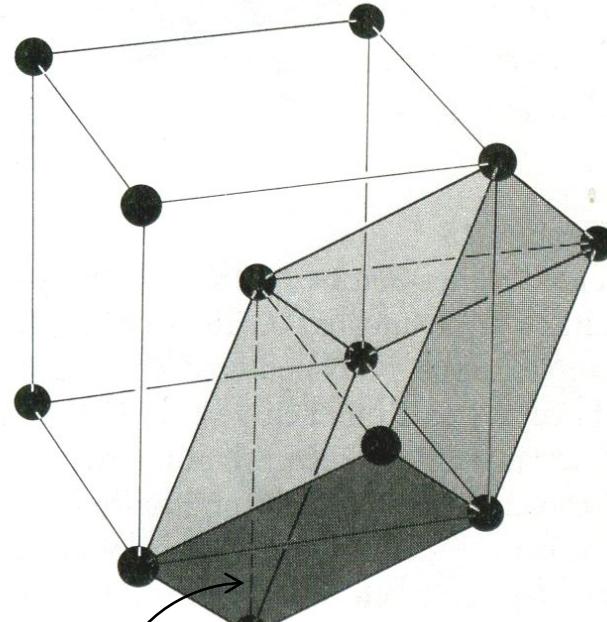
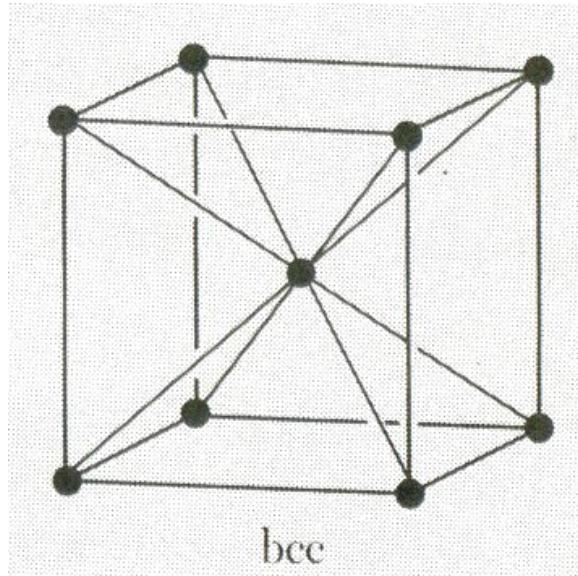


$$\vec{a}_1 = a\hat{i}, \quad \vec{a}_2 = a\hat{j}, \quad \vec{a}_3 = a\hat{k}$$

But the FCC structure can be described with a simple cubic lattice ?

How many atoms are there in this SC cell ?

# Body-centered cubic

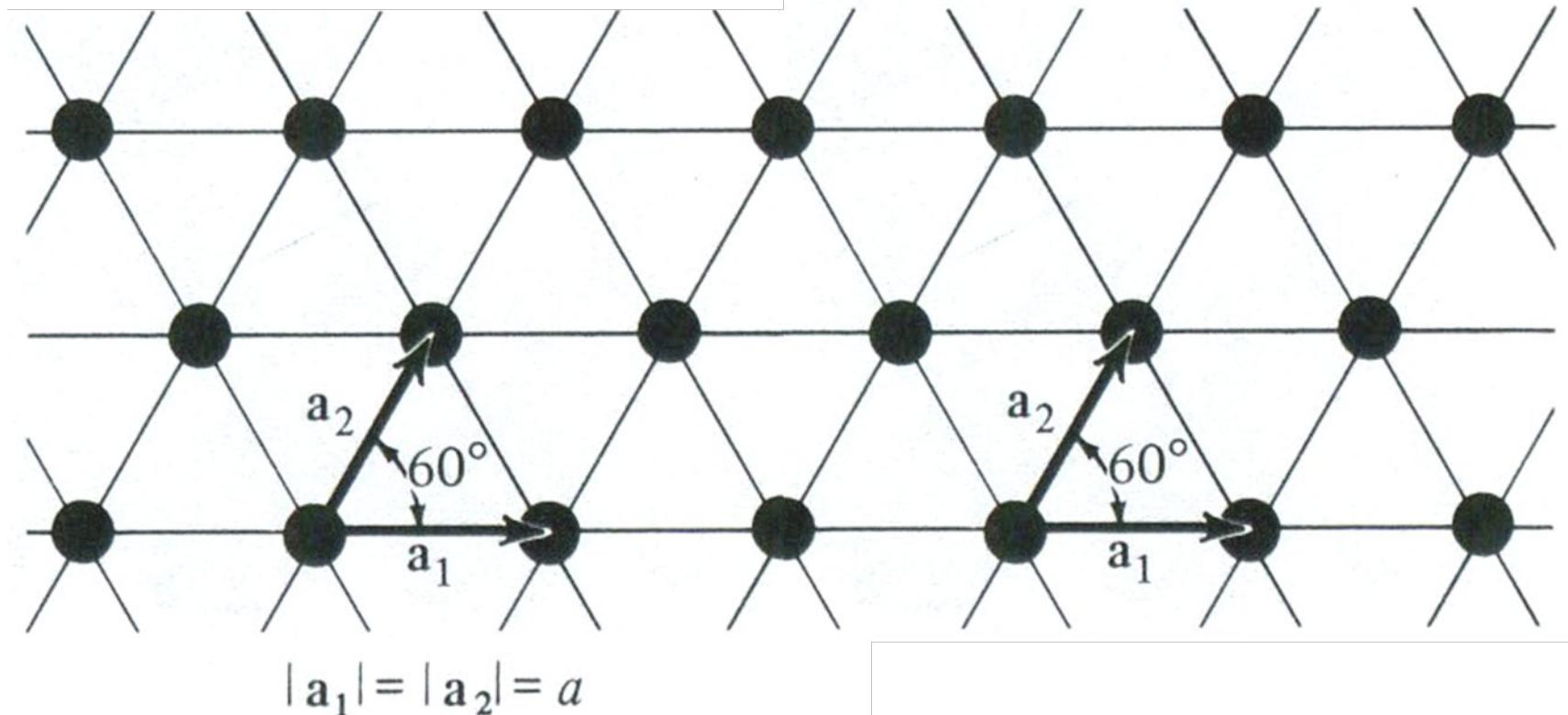


$$\vec{a}_1 = \frac{a}{2}(\hat{y} + \hat{z} - \hat{x})$$

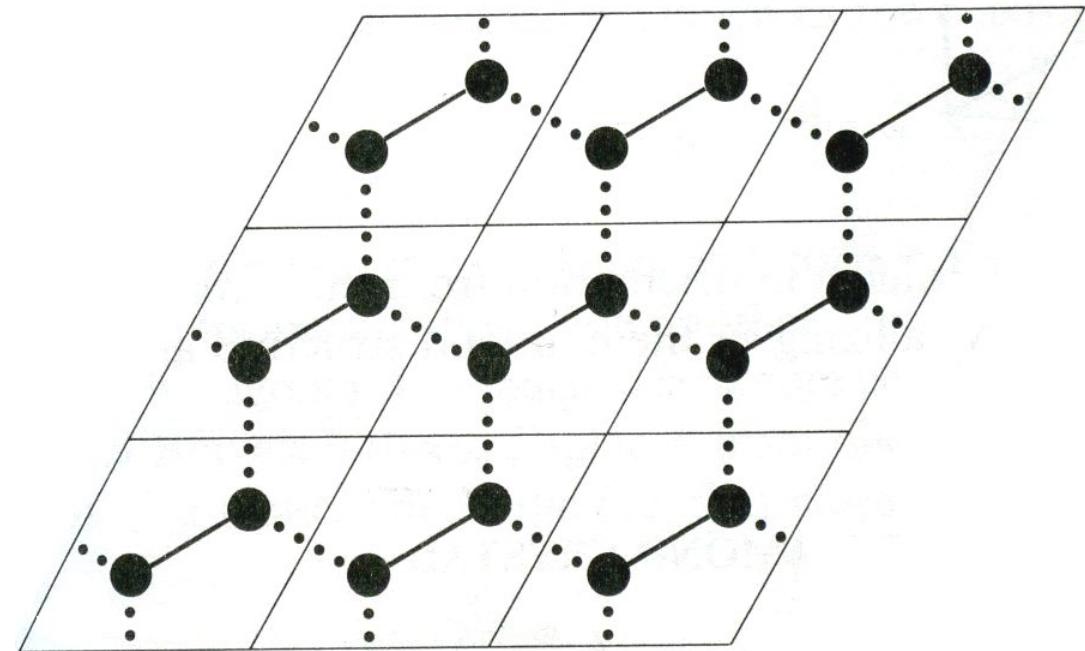
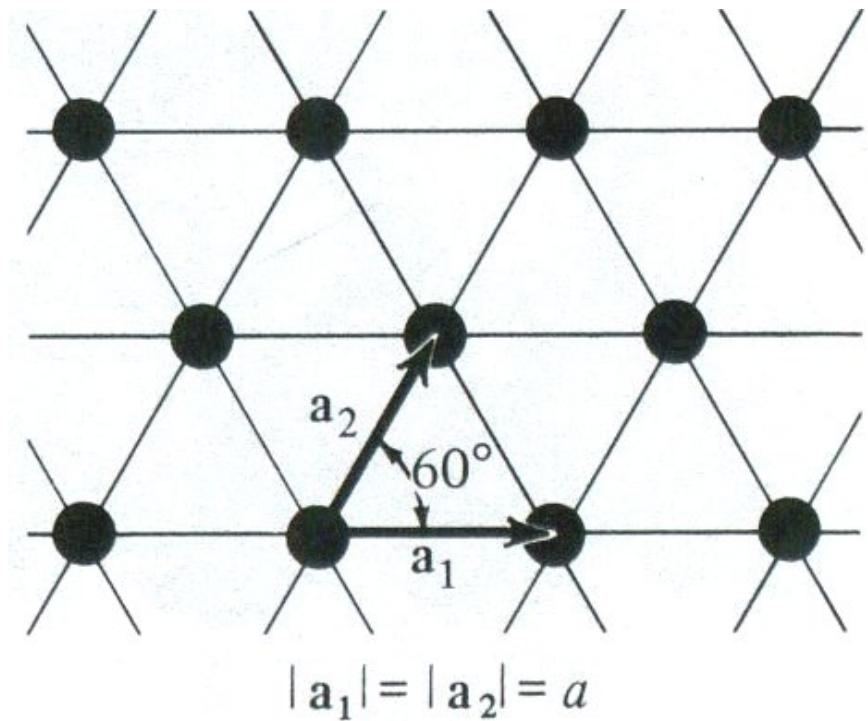
$$\vec{a}_2 = \frac{a}{2}(\hat{z} + \hat{x} - \hat{y})$$

$$\vec{a}_3 = \frac{a}{2}(\hat{x} + \hat{y} - \hat{z})$$

# Triangular lattice

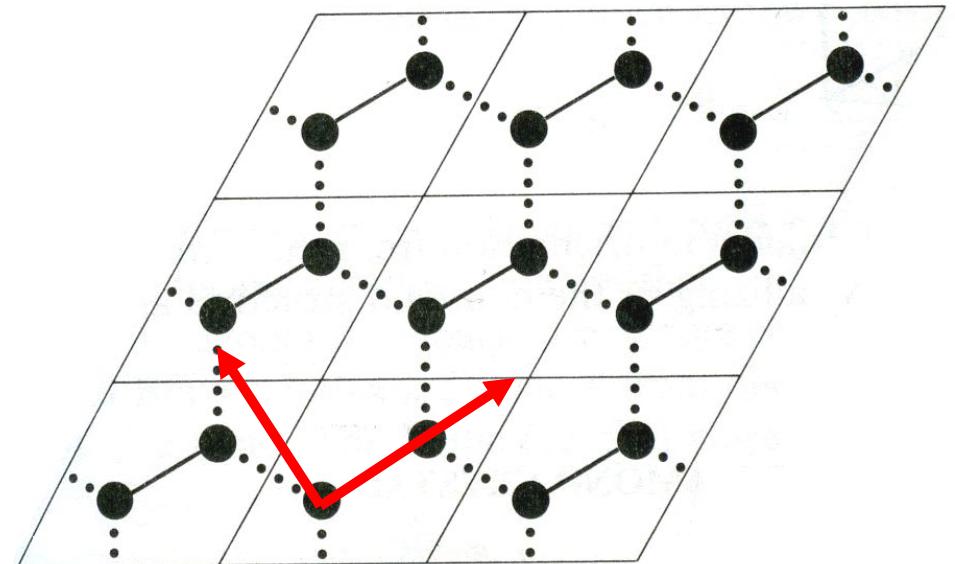
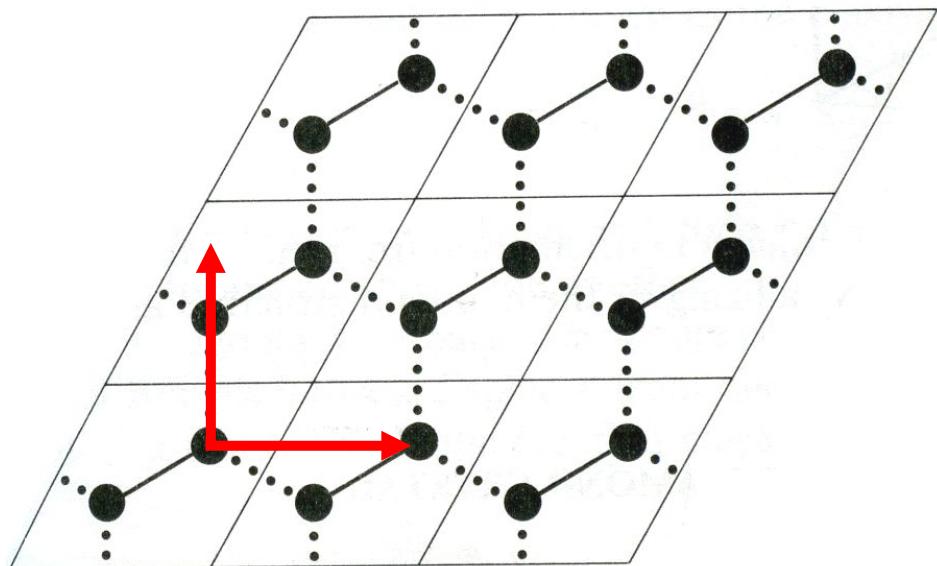


# Honey Com

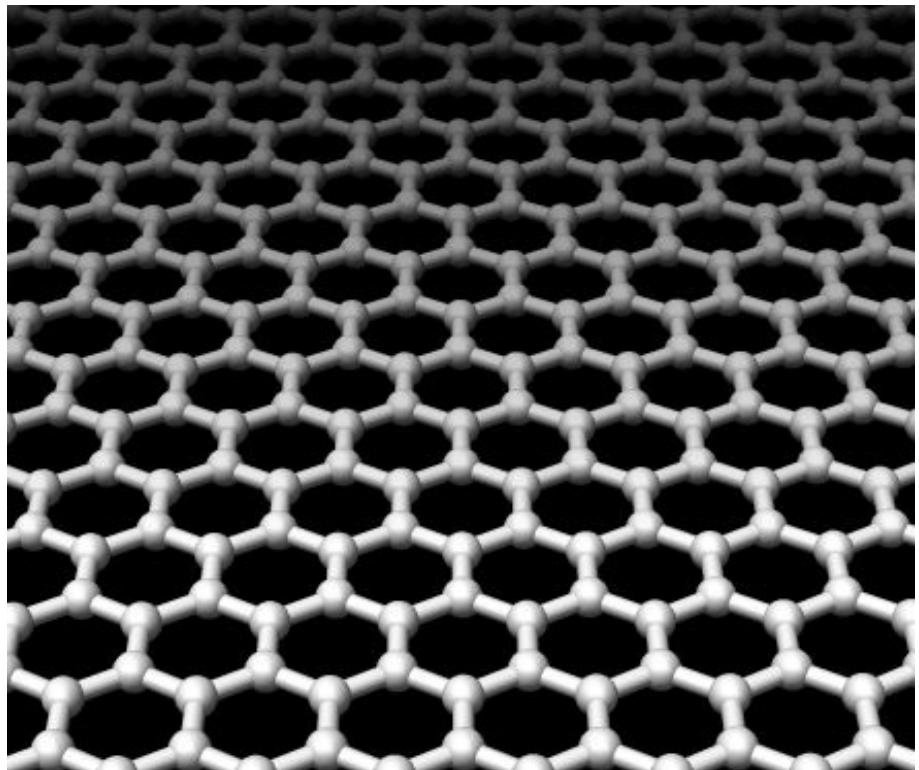


# Example,

Choosing the following two set of coordinate, express the components of lattice vector and basis vector.



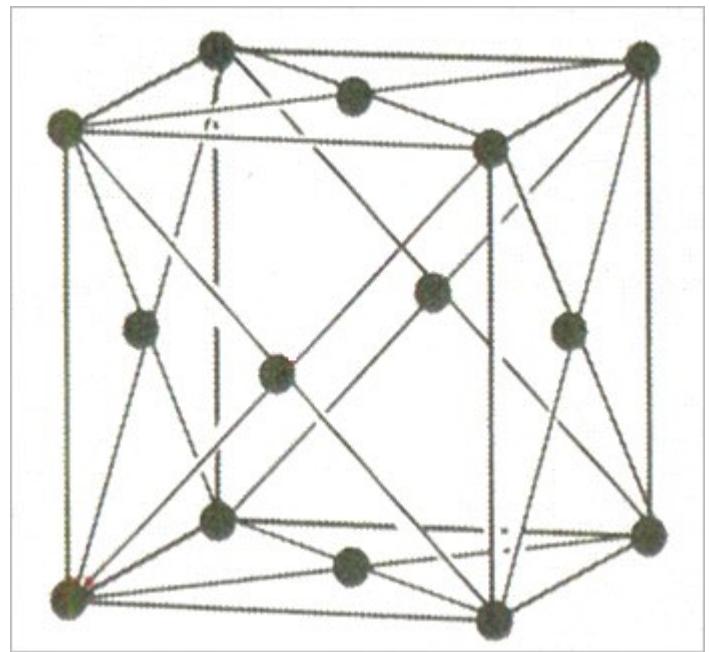
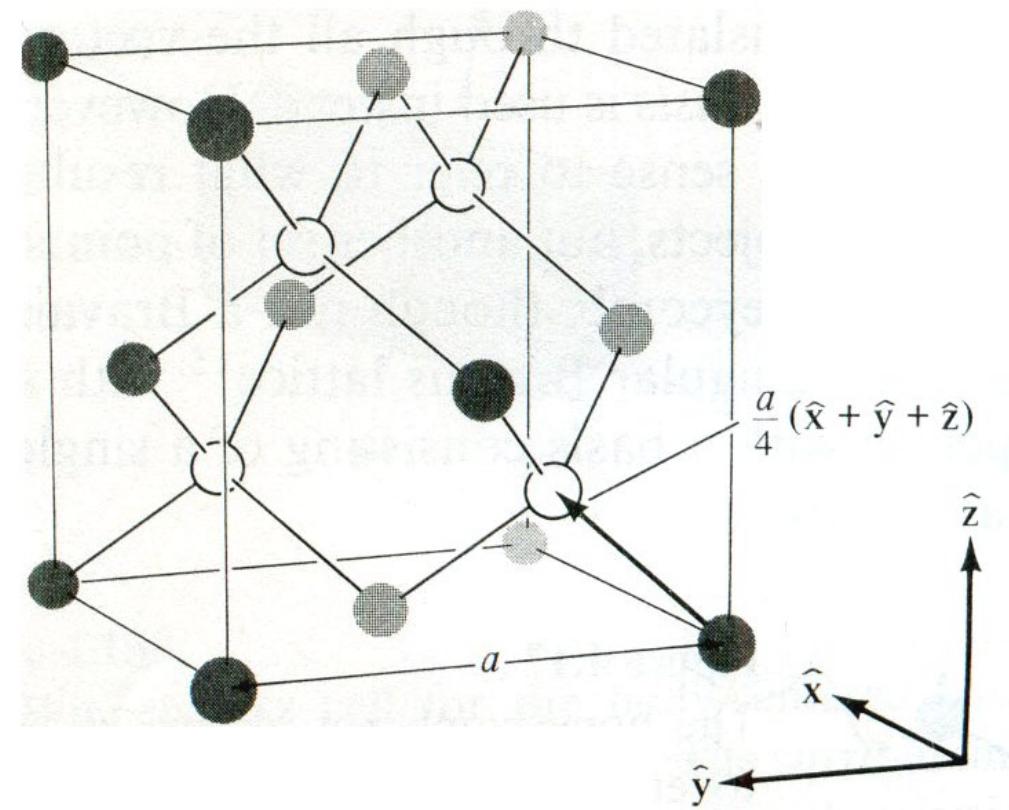
# Honey Comb structure



# Semiconductor

NOBLE ELEMENTS									
HELIUM	4.0026								
0.179	He	2							
		1s <sup>2</sup>							
3.57	HEX	1.633							
~1.0	(26 Atm)	26LT							
NEON	20.18								
1.56	Ne	10							
		1s <sup>2</sup> 2s <sup>2</sup> p <sup>6</sup>							
3.57	HEX	1.633							
~1.0	(26 Atm)	26LT							
3A	4A	5A	6A	7A					
BORON	CARBON	NITROGEN	OXYGEN	FLUORINE					
10.81	12.01	14.007	15.999	18.998					
2.34 B 5	2.26 C 6	1.03 N 7	1.43 O 8	1.97 ( $\alpha$ ) F 9					
1s <sup>2</sup> 2s <sup>2</sup> 2p <sup>1</sup>	1s <sup>2</sup> 2s <sup>2</sup> 2p <sup>2</sup>	1s <sup>2</sup> 2s <sup>2</sup> 2p <sup>3</sup>	1s <sup>2</sup> 2s <sup>2</sup> 2p <sup>4</sup>	1s <sup>2</sup> 2s <sup>2</sup> 2p <sup>5</sup>					
8.73 TET 0.576	3.57 DIA	4.039 HEX 1.651	6.83 CUB	MCL					
2600 1250	(4300) 1860	63.3 ( $\beta$ ) 79LT	54.7 ( $\gamma$ ) 46LT	53.5					
ALUMINUM	SILICON	PHOSPHORUS	SULFUR	CHLORINE					
26.982	28.086	30.974	32.064	35.453					
2.70 Al 13	2.33 Si 14	1.82 (white) P 15	2.07 S 16	2.09 Cl 17					
[Ne] 3s <sup>2</sup> 3p <sup>1</sup>	[Ne] 3s <sup>2</sup> 3p <sup>2</sup>	[Ne] 3s <sup>2</sup> 3p <sup>3</sup>	[Ne] 3s <sup>2</sup> 3p <sup>4</sup>	[Ne] 3s <sup>2</sup> 3p <sup>5</sup>					
4.05 FCC	5.43 DIA	7.17 CUB	10.47 ORC 2.339 1.229	6.24 ORC 1.324 0.718					
933 394	1683 625	317.3	386	172.2					
1B	2B								
COPPER	ZINC	GALLIUM	GERMANIUM	ARSENIC	SELENIUM	BROMINE	KRYPTON		
63.55	65.38	69.72	72.59	74.922	78.96	79.91	83.80		
8.96 Cu 29	7.14 Zn 30	5.91 Ga 31	5.32 Ge 32	5.72 As 33	4.79 Se 34	4.10 Br 35	3.07 Kr 36		
[Ar] 3d <sup>10</sup> 4s <sup>1</sup>	[Ar] 3d <sup>10</sup> 4s <sup>2</sup>	[Ar] 3d <sup>10</sup> s <sup>2</sup> 3p <sup>1</sup>	[Ar] 3d <sup>10</sup> 4s <sup>2</sup> 4p <sup>2</sup>	[Ar] 3d <sup>10</sup> 4s <sup>2</sup> 4p <sup>3</sup>	[Ar] 3d <sup>10</sup> 4s <sup>2</sup> 4p <sup>4</sup>	[Ar] 3d <sup>10</sup> 4s <sup>2</sup> 4p <sup>5</sup>	[Ar] 3d <sup>10</sup> 4s <sup>2</sup> 4p <sup>6</sup>		
3.61 FCC	2.66 HEX 1.856	4.51 ORC 1.695 1.001	5.66 DIA	4.13 RHL 54°10'	4.36 HEX 1.136	6.67 ORC 1.307 0.672	5.72 FCC		
1356 315	693 234	303 240	1211 360	1090 285	490 150LT	266	116.5 73LT		
SILVER	CADMUM	INDIUM	TIN	ANTIMONY	TELLURIUM	IODINE	XENON		
107.87	112.40	114.82	118.69	121.75	127.60	126.90	131.30		
10.5 Ag 47	8.65 Cd 48	7.31 In 49	7.30 Sn 50	6.62 Sb 51	6.24 Te 52	4.94 I 53	3.77 Xe 54		
[Kr] 4d <sup>10</sup> 5s <sup>1</sup>	[Kr] 4d <sup>10</sup> 5s <sup>2</sup>	[Kr] 4d <sup>10</sup> 5s <sup>2</sup> 5p <sup>1</sup>	[Kr] 4d <sup>10</sup> 5s <sup>2</sup> 5p <sup>2</sup>	[Kr] 4d <sup>10</sup> 5s <sup>2</sup> 5p <sup>3</sup>	[Kr] 4d <sup>10</sup> 5s <sup>2</sup> 5p <sup>4</sup>	[Kr] 4d <sup>10</sup> 5s <sup>2</sup> 5p <sup>5</sup>	[Kr] 4d <sup>10</sup> 5s <sup>2</sup> 5p <sup>6</sup>		
4.09 FCC	2.98 HEX 1.886	4.59 TET 1.076	5.82 TET 0.546	4.51 RHL 57°6'	4.45 HEX 1.330	7.27 ORC 1.347 0.659	6.20 FCC		
1234 215	594 120	429.8 129	505 170	904 200	723 139LT	387	161.3 55LT		
GOLD	MERCURY	THALLIUM	LEAD	BISMUTH	POLONIUM	ASTATINE	RADON		
196.97	200.59	204.37	207.19	208.98	210	210	222		
19.3 Au 79	13.6 Hg 80	11.85 Tl 81	11.4 Pb 82	9.8 Bi 83	9.4 Po 84	At 85	Rn 86		
[Xe] 4f <sup>14</sup> 5d <sup>10</sup> 6s <sup>1</sup>	[Xe] 4f <sup>14</sup> 5d <sup>10</sup> 6s <sup>2</sup>	[Xe] 4f <sup>14</sup> 5d <sup>10</sup> 6s <sup>2</sup> 6p <sup>1</sup>	[Xe] 4f <sup>14</sup> 5d <sup>10</sup> 6s <sup>2</sup> 6p <sup>2</sup>	[Xe] 4f <sup>14</sup> 5d <sup>10</sup> 6s <sup>2</sup> 6p <sup>3</sup>	[Xe] 4f <sup>14</sup> 5d <sup>10</sup> 6s <sup>2</sup> 6p <sup>4</sup>	[Xe] 4f <sup>14</sup> 5d <sup>10</sup> 6s <sup>2</sup> 6p <sup>5</sup>	[Xe] 4f <sup>14</sup> 5d <sup>10</sup> 6s <sup>2</sup> 6p <sup>6</sup>		
4.08 FCC	2.99 RHL 70°45'	3.46 HEX 1.599	4.95 FCC	4.75 RHL 57°14'	3.35 SC	(575)	(202)		
1337 170	234.3 100	577	96 601	88 544.5	120 527				

# Diamond structure = FCC with two basis



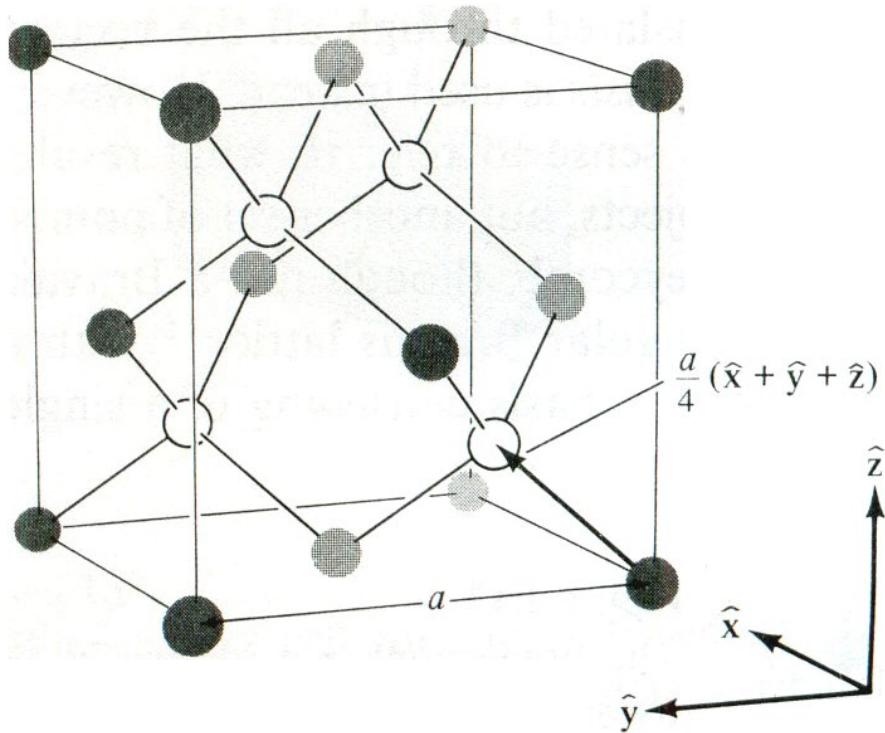
FCC

# Diamond structure = FCC with two basis

Using an appropriate set of coordinate, express the coordinate vectors for the basis atoms and lattice vector ?

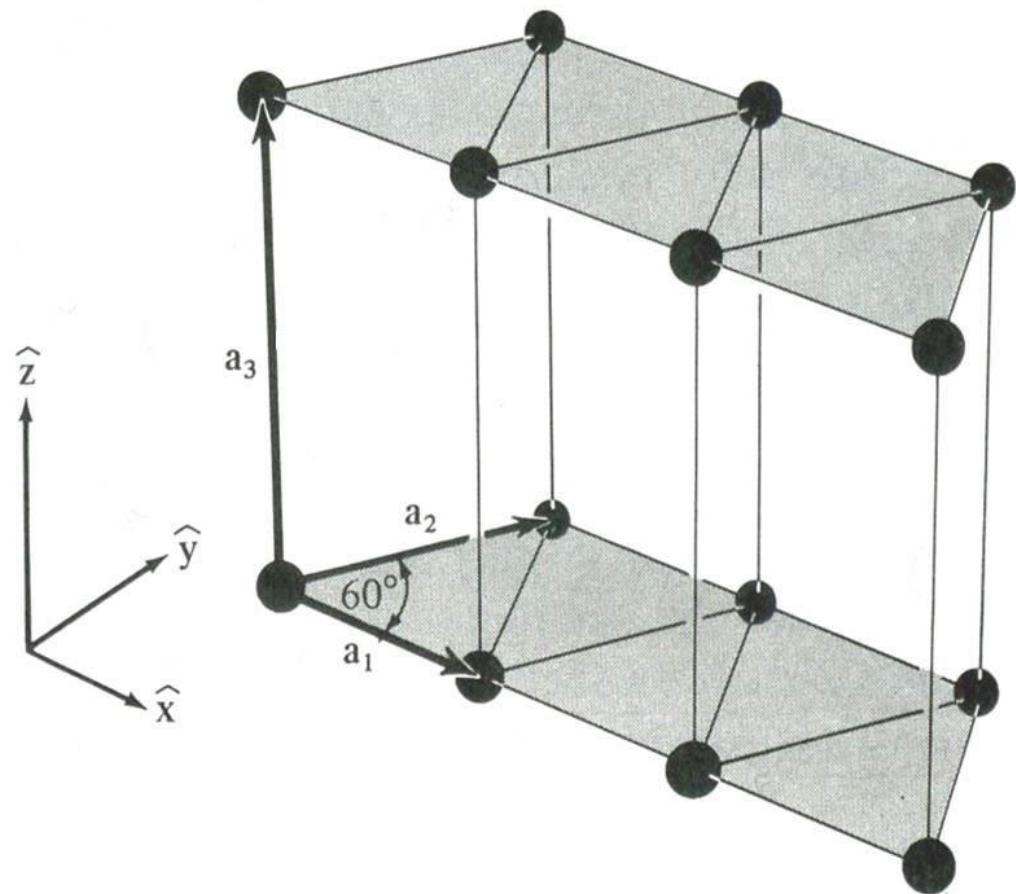
How many atoms do you have in the unit cell when you see it as a SC ?

How many atoms do you have in the unit cell when you see it as a FCC lattice ?



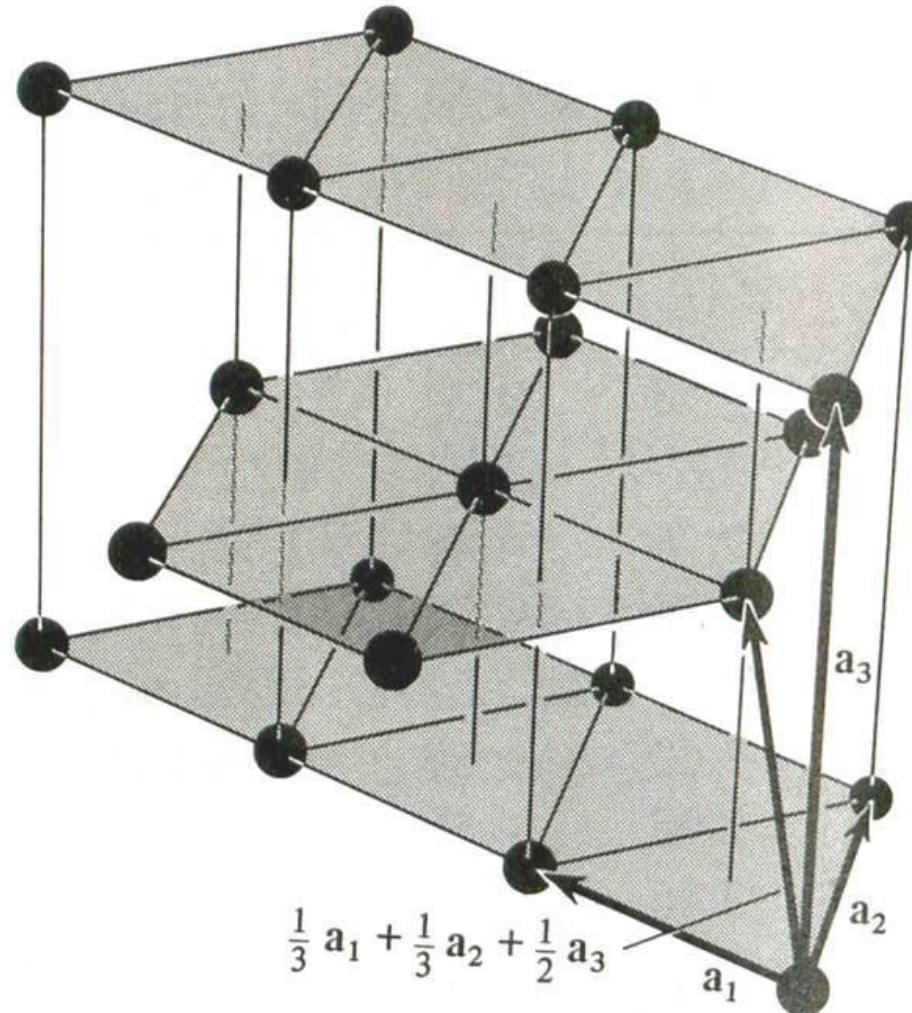
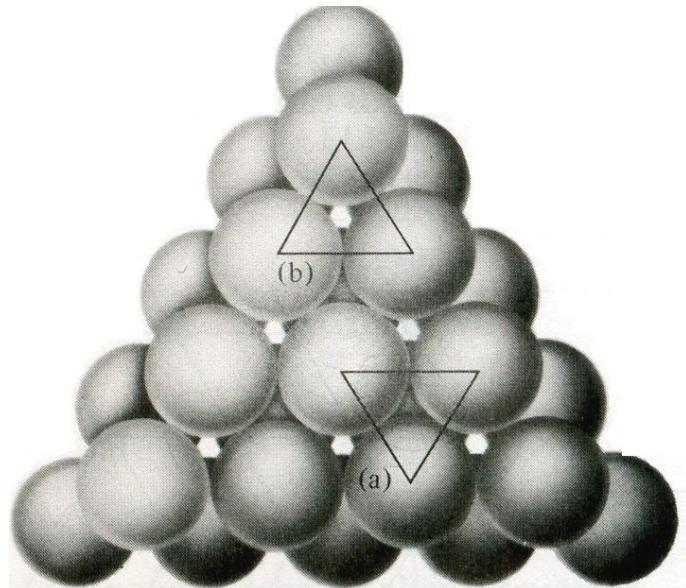
$$\left\{ \begin{array}{l} \vec{a}_1 = a\hat{x} \\ \vec{a}_2 = a\hat{y} \\ \vec{a}_3 = a\hat{z} \end{array} \right.$$

# Simple Hexagonal Lattice



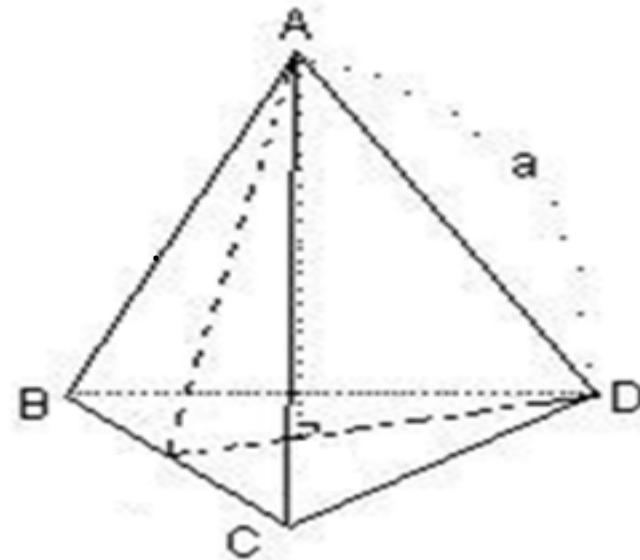
$$\left\{ \begin{array}{l} \overrightarrow{a}_1 = a\hat{x} \\ \overrightarrow{a}_2 = a\left(\frac{1}{2}\hat{x} + \frac{\sqrt{3}}{2}\hat{y}\right) \\ \overrightarrow{a}_3 = c\hat{z} \end{array} \right.$$

# Hexagonal Close Pack (HCP)



# Hexagonal Close Pack (HCP)

Regular tetrahedron



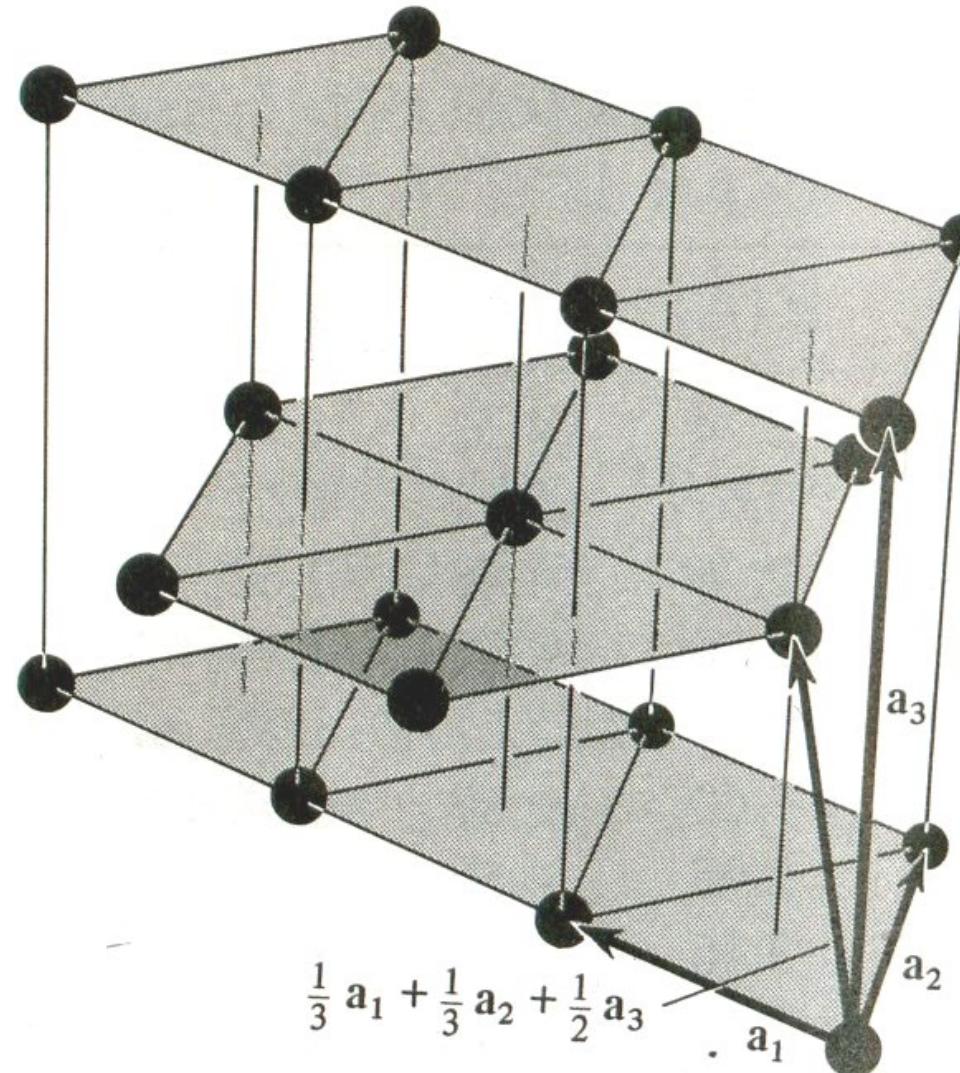
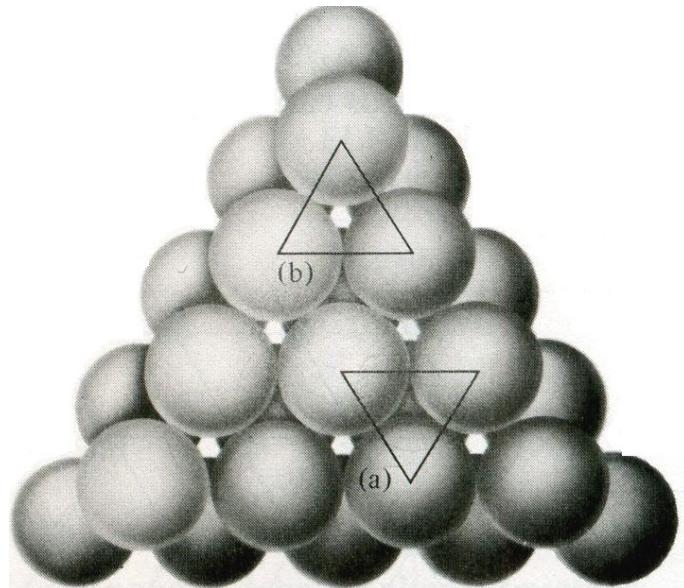
In high school mathematics class, you might have learned that the height of regular tetrahedron can be related to the length of edge.

$$h = a \sqrt{2/3}$$

Show that the 3<sup>rd</sup> lattice length, perpendicular the to plane lattice, is

$$c = a \sqrt{8/3}$$

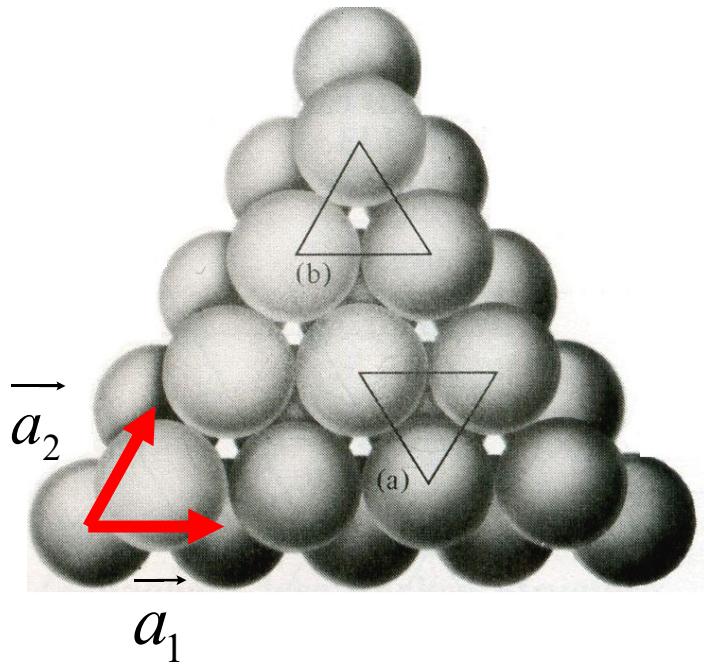
# Hexagonal Close Pack (HCP)



$$\vec{\tau}_1 = 0$$

$$\vec{\tau}_2 = \frac{1}{3}(\vec{a}_1 + \vec{a}_2) + \frac{1}{2}\vec{a}_3$$

# FCC and Close-Pack



$$\vec{\tau}_1 = 0$$

$$\vec{\tau}_2 = \frac{1}{3}(\vec{a}_1 + \vec{a}_2) + \frac{1}{3}\vec{a}_3$$

$$\vec{\tau}_3 = \vec{\tau}_2 + \frac{1}{3}(\vec{a}_1 + \vec{a}_2) + \frac{1}{3}\vec{a}_3 = 2\vec{\tau}_2$$

$$\vec{a}_1 = (a, 0, 0)$$

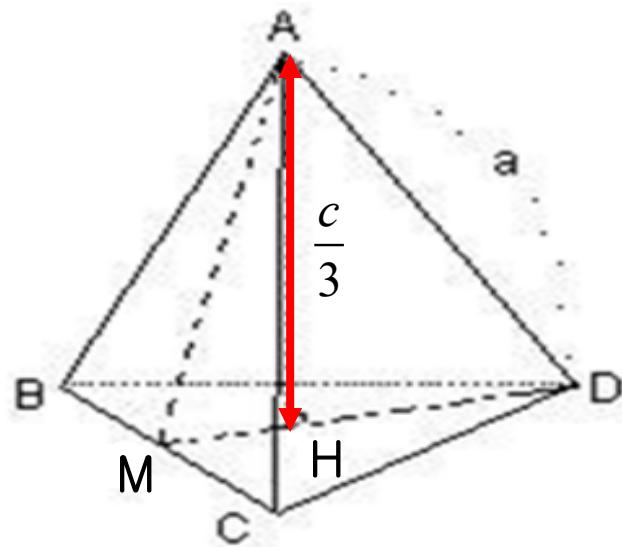
$$\vec{a}_2 = \left(\frac{a}{2}, \frac{\sqrt{3}a}{2}, 0\right)$$

$$\vec{a}_3 = (0, 0, c)$$

FCC is a type of closed-pack structure, when you look it at from the [111] axis direction

# FCC and Close-Pack

FCC is a type of closed-pack structure, when you look it at from the [111] axis direction



$$c = \sqrt{6}a$$

$$\overline{DM} = \frac{\sqrt{3}}{2}a$$

$$\overline{DH} = \frac{2}{3}\overline{DM} = \frac{\sqrt{3}}{3}a$$

$$(\overline{AH})^2 = (\overline{AD})^2 - (\overline{DH})^2$$

$$(\overline{AH})^2 = a^2 - \frac{1}{3}a^2 = \frac{2}{3}a^2$$

$$\therefore \overline{AH} = \sqrt{\frac{2}{3}}a$$

Because FCC Structure has 3 layers,

$$c = 3\overline{AH}$$

$$c = \sqrt{6}a$$